

The generalized Frobenius problem via restricted partition functions

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Abstract

Given relatively prime positive integers, a_1, \dots, a_n , the Frobenius number is the largest integer with no representations of the form $a_1x_1 + \dots + a_nx_n$ with nonnegative integers x_i . This classical value has recently been generalized: given a nonnegative integer k , what is the largest integer with at most k such representations? Other classical values can be generalized too: for example, how many nonnegative integers are representable in at most k ways? For sufficiently large k , we give formulas for these values by understanding the level sets of the restricted partition function (the function $f(t)$ giving the number of representations of t). Furthermore, we give the full asymptotics of all of these values, as well as reprove formulas for some special cases (such as the $n = 2$ case and a certain extremal family from the literature). Finally, we obtain the first two leading terms of the restricted partition function as a so-called quasi-polynomial.

Mathematics Subject Classifications: 11D07, 52C07, 05A15

1 Introduction

Given relatively prime positive integers, a_1, \dots, a_n , we define the *Frobenius number* to be the largest integer not contained in the semigroup

$$\{a_1x_1 + \dots + a_nx_n : x_i \in \mathbb{Z}_{\geq 0}\}.$$

Formulas for some special cases have been known since at least Sylvester [18] in the 1880's; for example, if $n = 2$, the Frobenius number is $a_1a_2 - a_1 - a_2$. See the Ramírez Alfonsín text [16] for much more background.

More recently, Beck and Robins [7] propose a generalization. While the classical Frobenius number is the largest integer that can be represented as a nonnegative integer combination of a_1, \dots, a_n in *zero* ways, we could instead take a fixed k and look at integers that can be represented in exactly k distinct ways. To be precise:

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Definition 1. Given a vector $\mathbf{a} = (a_1, \dots, a_n)$ of relatively prime positive integers and given $t \in \mathbb{Z}_{\geq 0}$, define the *restricted partition function*

$$f(\mathbf{a}; t) = \#(x_1, \dots, x_n) \in \mathbb{Z}_{\geq 0}^n : a_1x_1 + \dots + a_nx_n = t$$

to be the number of ways to represent t by a nonnegative integer combination of the a_i . We write it as $f(t)$ when \mathbf{a} is clear from context. Then define

- $g_{=k}$ to be the maximum $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) = k$ (the largest integer that can be represented in *precisely* k ways), if any such t exist, and
- $g_{\leq k}$ to be the maximum $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) \leq k$ (the largest integer that can be represented in *at most* k ways).

The Frobenius number is $g_{=0} = g_{<0}$, but these numbers may differ for larger k :

Example 2. (Shallit and Stankewicz [17]) For $\mathbf{a} = (8, 9, 15)$, we have $g_{=15} = 169$, but $g_{\leq 15} = g_{=14} = 172$.

Remark 3. A consequence of Theorem 12 will be that $g_{=k} = g_{\leq k}$, for all sufficiently large k .

Example 4. Take $\mathbf{a} = (3, 4, 6)$. Here is a table of t and $f(t)$ for small t :

t	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	...
$f(t)$	1	0	0	1	1	0	2	1	1	2	2	1	4	2	2	4	4	2	6	4	4	6	6	4	...

For example, $g_{=0} = 5$ is the Frobenius number, and $g_{=2} = 17$; the two representations of 17 are $17 = 3 \cdot 1 + 4 \cdot 2 + 6 \cdot 1 = 3 \cdot 3 + 4 \cdot 2 + 6 \cdot 0$. Except for $k = 0$, which appears 3 times on this list of $f(t)$, values of k seem to appear either 6 times ($k = 1, 2, 4, \dots$) or not at all ($k = 3, 5, \dots$). Figure 1 (inspired by Bardomero and Beck [3, Figure 1]) illustrates how the level sets of $f(t)$ “interlace”: the nonempty levels sets (except for $f(t) = 0$) are translates of each other that eventually tile $\mathbb{Z}_{\geq 0}$.

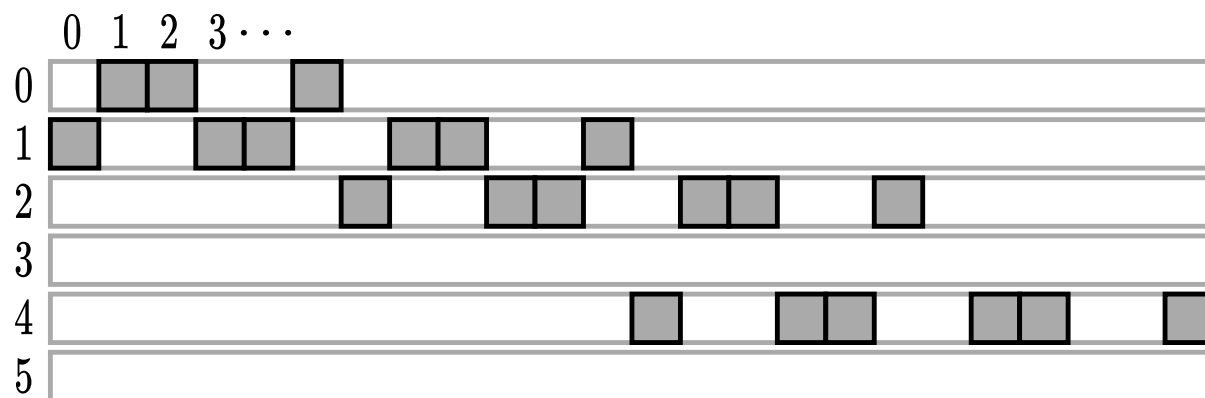


Figure 1: The horizontal axis is $t = 0, 1, 2, 3, \dots$ and the vertical axis is $f(t)$, in Example 4.

In order to attack the generalized Frobenius problem, we will generalize Figure 1 and characterize how the level sets of $f(t)$ will interlace and how they will increase with t . We will make heavy use of the fact that $f(t)$ is a very “nice” function. In fact, it is a *quasi-polynomial*:

Definition 5. A function $f : \mathbb{Z}_{\geq 0} \rightarrow \mathbb{Q}$ is a *quasi-polynomial of period m* if there exist polynomials $f_0, f_1, \dots, f_{m-1} \in \mathbb{Q}[t]$ such that

$$f(t) = f_i(t), \text{ for } t \equiv i \pmod{m}.$$

The polynomials, f_i , are called the *constituent polynomials* of f .

The following folklore theorem shows that our f is a quasi-polynomial:

Proposition 6. Let $\mathbf{a} = (a_1, \dots, a_n)$ be a vector of relatively prime positive integers. Then $f(\mathbf{a}; t)$ is a quasi-polynomial of period $m = \text{lcm}(\mathbf{a}) = \text{lcm}(a_1, \dots, a_n)$. Furthermore, the leading term of all of the constituent polynomials is

$$\frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1}.$$

This proposition is apparently due to Issai Schur; see Wilf [21, Section 3.15], and we present a proof as part of Proposition 19.

Our first theorem will tell us exactly how to determine whether $f(s) = f(t)$, $f(s) > f(t)$, or $f(s) < f(t)$, for sufficiently large s and t , and elucidate the structure of the output of f . First some notation:

Notation 7. For $1 \leq i \leq n$, define $\mathbf{a}_{-i} = (a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n)$, so that, for example, $\text{gcd}(\mathbf{a}_{-i}) = \text{gcd}(a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n)$.

Theorem 8. Let $\mathbf{a} = (a_1, \dots, a_n)$ be a vector of relatively prime positive integers. For $1 \leq i \leq n$, let $d_i = \text{gcd}(\mathbf{a}_{-i})$, and let $p = d_1 \cdots d_n$. Then

1. Let

$$L = \left\{ \sum_i a_i b_i : b_i \in \mathbb{Z}, 0 \leq b_i < d_i \right\}.$$

If $s \in \mathbb{Z}_{\geq 0}$ and $\ell \in L$, then

$$f(sp + \ell) = f(sp).$$

(These will give the level sets of f , for sufficiently large t , all translates of L .)

2. Given $t \in \mathbb{Z}_{\geq 0}$, there exists $s \in \mathbb{Z}$ and $\ell \in L$ such that

$$t = sp + \ell.$$

Furthermore, if $f(t) > 0$, then $s \geq 0$. (That is, Part (1) gives all of the level sets except for $f(t) = 0$.)

3. For $1 \leq i \leq n$, let

$$a'_i = \frac{a_i}{\prod_{j \neq i} d_j}$$

and $\mathbf{a}' = (a'_1, \dots, a'_n)$. Then

$$f(\mathbf{a}; sp) = f(\mathbf{a}'; p),$$

for $s \in \mathbb{Z}_{\geq 0}$. (This will be useful to simplify calculations of $f(sp)$, when $p > 1$.)

4. For all sufficiently large $s \in \mathbb{Z}_{\geq 0}$,

$$f(\mathbf{a}; (s+1)p) > f(\mathbf{a}; sp).$$

(So these interlaced level sets will be broadly increasing with t .)

Example 9. Continuing Example 4 with $\mathbf{a} = (3, 4, 6)$, we can now better understand Figure 1. Since $d_1 = \gcd(4, 6) = 2$, $d_2 = \gcd(3, 6) = 3$, and $d_3 = \gcd(3, 4) = 1$, we have $p = 2 \cdot 3 \cdot 1 = 6$. The set of values in L are:

$$\begin{aligned} 3 \cdot 0 + 4 \cdot 0 + 6 \cdot 0 &= 0, & 3 \cdot 0 + 4 \cdot 1 + 6 \cdot 0 &= 4, & 3 \cdot 0 + 4 \cdot 2 + 6 \cdot 0 &= 8, \\ 3 \cdot 1 + 4 \cdot 0 + 6 \cdot 0 &= 3, & 3 \cdot 1 + 4 \cdot 1 + 6 \cdot 0 &= 7, & 3 \cdot 1 + 4 \cdot 2 + 6 \cdot 0 &= 11. \end{aligned}$$

Therefore, given $s \in \mathbb{Z}_{\geq 0}$, $f(6s + \ell)$ will be identical for $\ell \in L = \{0, 3, 4, 7, 8, 11\}$, which is exactly what we see in Figure 1. Furthermore, the value of $f(6s)$ will eventually increase with s ; in this example, it is increasing for all s : $f(0) = 1$, $f(6) = 2$, $f(12) = 4$, $f(18) = 6$, and so on. Except for $f(t) = 0$, all values in the range $f(\mathbb{Z}_{\geq 0})$ will appear on this list (these interlaced translates of L tile $\{t \in \mathbb{Z}_{\geq 0} : f(t) > 0\}$).

Finally, $a'_1 = 3/3 = 1$, $a'_2 = 4/2 = 2$, and $a'_3 = 6/6 = 1$. One can check by hand that

$$f(\mathbf{a}; 6s) = f(\mathbf{a}'; s) = \begin{cases} \frac{s^2}{4} + s + 1 & \text{if } s \text{ is even,} \\ \frac{s^2}{4} + s + \frac{3}{4} & \text{if } s \text{ is odd.} \end{cases}$$

Remark 10. Since $f(t)$ is a quasi-polynomial of period $m = \text{lcm}(\mathbf{a})$ and we only need to look at values of t that are multiples of p , we must compute m/p of the constituent polynomials of f . In the above Example, $m/p = 12/6 = 2$ and we need two polynomials.

We now describe what this means for $g_{=k}$ and $g_{\leq k}$, for sufficiently large k . We also describe some other quantities that often appear in both the classical and generalized Frobenius problem. Roughly, $g_{=k}$ and $g_{\leq k}$ find the *maximum* t with a given property, but we might also want to find the *minimum* such t , *count* all such t , or even *sum* all such t ; *generating functions* have also proven useful in studying these properties, so we analyze them too. The long list of precise definitions below — and the parts of theorems pertaining to them — can be skipped on first reading, in order to focus on $g_{=k}$ and $g_{\leq k}$.

Definition 11. Let $\mathbf{a} = (a_1, \dots, a_n)$ be a vector of relatively prime positive integers. For $k \in \mathbb{Z}_{\geq 0}$, define

- $h_{=k}$ to be the minimum $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) = k$ (if any such t exist),
- $h_{\geq k}$ to be the minimum $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) \geq k$,
- $c_{=k}$ to be the number of $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) = k$,
- $c_{\leq k}$ to be the number of $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) \leq k$,
- $s_{=k}$ to be the sum of all $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) = k$,
- $s_{\leq k}$ to be the sum of all $t \in \mathbb{Z}_{\geq 0}$ such that $f(t) \leq k$,
- $F_{=k}(x)$ to be the generating function

$$\sum_{t \in \mathbb{Z}_{\geq 0}: f(t)=k} x^t,$$

- $F_{\geq k}(x)$ to be the generating function

$$\sum_{t \in \mathbb{Z}_{\geq 0}: f(t) \geq k} x^t.$$

Theorem 12. Let $\mathbf{a} = (a_1, \dots, a_n)$ be a vector of relatively prime positive integers, and define p, d_i as in Theorem 8. Define $g_{=k}, g_{\leq k}, h_{=k}, h_{\geq k}, c_{=k}, c_{\leq k}, s_{=k}, s_{\leq k}, F_{=k}(x), F_{\geq k}(x)$ as in Definitions 1 and 11. Then there are constants C_1, C_2 such that, for sufficiently large $s \in \mathbb{Z}_{\geq 0}$,

$$g_{=f(sp)} = g_{\leq f(sp)} = sp + \sum_{i=1}^n (d_i - 1)a_i,$$

$$h_{=f(sp)} = h_{\geq f(sp)} = sp,$$

$$c_{=f(sp)} = p,$$

$$c_{\leq f(sp)} = sp + C_1,$$

$$s_{=f(sp)} = sp^2 + \sum_{i=1}^n \frac{pa_i(d_i - 1)}{2},$$

$$s_{\leq f(sp)} = \frac{1}{2}(sp)^2 + \left(\frac{p + \sum_{i=1}^n a_i(d_i - 1)}{2} \right) sp + C_2,$$

$$F_{=f(sp)}(x) = x^{sp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}},$$

$$F_{\geq f(sp)}(x) = \frac{x^{sp}}{1 - x^p} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}.$$

Remark 13. Let's call k such that no t has exactly k representations ($c_{=k} = 0$) *trivial*. By Theorem 8, the only *nontrivial* k are of the form $k = f(sp)$, and the values of such $g_{=f(sp)}$, etc., are given by the above theorem (for sufficiently large s). But this also gives us the values for (sufficiently large) *trivial* k : for example, $g_{\leq k} = g_{\leq f(sp)}$, if $f(sp) \leq k < f((s+1)p)$.

Notice that $g_{\leq k}$ (like several of the other quantities) is of the form $g_{\leq f(sp)} = sp + C$, where C is a constant. That is, it is roughly the inverse of f . Writing $q_1(x) \sim q_2(x)$, if $\lim_{x \rightarrow \infty} q_1(x)/q_2(x) = 1$, Proposition 6 gives that

$$f(t) \sim \frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1}.$$

Therefore, if $k \sim f(sp)$ (in particular, if $f(sp) \leq k < f((s+1)p)$), we have

$$sp \sim ((n-1)!a_1 \cdots a_n k)^{1/(n-1)},$$

and we immediately get the asymptotics of these functions of k :

Corollary 14. *Given a vector $\mathbf{a} = (a_1, \dots, a_n)$ of relatively prime positive integers, let p be the constant defined in Theorem 8. Then (restricting to k where the values are defined/nonzero)*

- $g_{=k}, g_{\leq k}, h_{=k}, h_{\geq k}, c_{\leq k} \sim ((n-1)!a_1 \cdots a_n k)^{1/(n-1)},$
- $c_{=k} \sim p,$
- $s_{=k} \sim p((n-1)!a_1 \cdots a_n k)^{1/(n-1)},$
- $s_{\leq k} \sim \frac{1}{2}((n-1)!a_1 \cdots a_n k)^{2/(n-1)}.$

Fukshansky and Schürmann [12] give bounds for $g_{\leq k}$, for sufficiently large k , matching these asymptotics, and Aliev, Fukshansky, and Henk [2] find bounds on $g_{\leq k}$ that are good for all k . The asymptotics of the other quantities seem to be new here.

These quantities have already been calculated exactly for $n = 2$, in Beck and Robins [7] and Bardomero and Beck [3]. We will reproduce these results nicely using Theorem 8:

Proposition 15. *Given relatively prime positive integers a_1, a_2 ,*

$$\begin{aligned}
 g_{=k} &= g_{\leq k} = (k+1)a_1a_2 - a_1 - a_2, \\
 \text{for } k \geq 1, h_{=k} &= h_{\geq k} = (k-1)a_1a_2, \\
 h_{=0} &= 1 \text{ (unless } a_1 = 1 \text{ or } a_2 = 1), \\
 \text{for } k \geq 1, c_{=k} &= a_1a_2, \\
 c_{=0} &= \frac{a_1a_2 - a_1 - a_2 + 1}{2}, \\
 c_{\leq k} &= ka_1a_2 + c_{=0}, \\
 \text{for } k \geq 1, s_{=k} &= \frac{a_1a_2(2a_1a_2k - a_1 - a_2)}{2}, \\
 s_{=0} &= \frac{(a_1 - 1)(a_2 - 1)(2a_1a_2 - a_1 - a_2 - 1)}{12}, \\
 s_{\leq k} &= \frac{a_1^2a_2^2}{2}k^2 + \frac{a_1a_2(a_1a_2 - a_1 - a_2)}{2}k + s_{=0}, \\
 \text{for } k \geq 1, F_{=k}(x) &= \frac{x^{(k-1)a_1a_2} (1 - x^{a_1a_2})^2}{(1 - x^{a_1})(1 - x^{a_2})}, \\
 F_{=0}(x) &= \frac{1}{1-x} - \frac{1 - x^{a_1a_2}}{(1 - x^{a_1})(1 - x^{a_2})}, \\
 \text{for } k \geq 1, F_{\geq k}(x) &= \frac{x^{(k-1)a_1a_2} (1 - x^{a_1a_2})}{(1 - x^{a_1})(1 - x^{a_2})}, \\
 F_{\geq 0} &= \frac{1}{1-x}.
 \end{aligned}$$

The formulas for $g_{=k}, g_{\leq k}, h_{=k}, h_{\geq k}, c_{=k}, c_{\leq k}$ are due to (or immediately derivable from) [7] and the formulas for $s_{=k}, s_{\leq k}, F_{=k}(s), F_{\geq k}(x)$ are due to [3]. The $k = 0$ cases were previously known: see Sylvester [18] for $g_{=0}, c_{=0}$, Brown and Shiue [9] for $s_{=0}$, and Székely and Wormald [19] for $F_{=0}(x), F_{\geq 1}(x)$. Proposition 15 is an immediate corollary (the $n = 2$ case) of Proposition 16 and Remark 17 below:

Proposition 16. *Let d_1, \dots, d_n be pairwise coprime positive integers, and let $a_i = \prod_{j \neq i} d_j$, for $1 \leq i \leq n$. Let $p = d_1 \cdots d_n$ and $\sigma = a_1 + \cdots + a_n$. Other than $k = 0$, the only nontrivial*

k (that is, such that $c_{=k} > 0$) are $k = \binom{s+n-1}{n-1}$, for $s \in \mathbb{Z}_{\geq 0}$, and we have

$$\begin{aligned} g_{=k} &= g_{\leq k} = (s+n)p - \sigma, \\ h_{=k} &= h_{\geq k} = sp, \\ c_{=k} &= p, \\ c_{\leq k} &= (s+1)p + \frac{(n-1)p - \sigma + 1}{2}, \\ s_{=k} &= \frac{p((2s+n)p - \sigma)}{2}, \\ F_{=k}(x) &= \frac{x^{sp}(1-x^p)^n}{(1-x^{a_1}) \cdots (1-x^{a_n})}, \\ F_{\geq k}(x) &= \frac{x^{sp}(1-x^p)^{n-1}}{(1-x^{a_1}) \cdots (1-x^{a_n})}. \end{aligned}$$

The formula for $g_{=k} = g_{\leq k}$ was given in Beck and Kifer [6]. The other formulas seem to be new. If $n = 2$, then $a_1 = d_2$ and $a_2 = d_1$ are generic relatively prime positive integers, and setting $k = \binom{s+1}{1} = s+1$ retrieves Proposition 15 for $k \geq 1$; the $k = 0$ case is covered by the following remark:

Remark 17. For $k = 0$, Tripathi [20] proved that

$$g_{=0} = (n-1)p - \sigma \quad \text{and} \quad c_{=0} = \frac{(n-1)p - \sigma + 1}{2}.$$

These can be instead be obtained directly from $F_{\geq 1}(x)$ above, as follows: We have

$$F_{\geq 0}(x) = \sum_{t \in \mathbb{Z}_{\geq 0}} x^t = \frac{1}{1-x} \quad \text{and} \quad F_{=0}(x) = F_{\geq 0}(x) - F_{\geq 1}(x).$$

Then $g_{=0}$ is the degree of $F_{=0}(x)$ as a polynomial and $c_{=0} = F_{=0}(1)$, which matches Tripathi's [20] formulas. One could compute $s_{=0} = F'_{=0}(1)$, which would also allow us to give a formula for $s_{\leq k}$, but the answer seems a bit messy; however, $F'_{=0}(1)$ does match the $n = 2$ value of $s_{=0}$ given in Proposition 15.

The following well-known lemma gives a useful recurrence and is worth highlighting here:

Lemma 18. Given $t \in \mathbb{Z}_{\geq 0}$, and given i with $t \geq a_i$,

$$f(\mathbf{a}; t) = f(\mathbf{a}; t - a_i) + f(\mathbf{a}_{-i}; t).$$

If we define $f(\mathbf{a}; t) = 0$ for $t < 0$ and $f(\emptyset; 0) = 1$, this recurrence holds for all $t \in \mathbb{Z}$.

The proof is immediate: the first term on the right-hand-side is the number of ways to represent t with at least one a_i , and the second term is the number of ways to represent t with no a_i 's.

Finally, we note that a partial fractions approach provides an alternative proof of Theorem 8(4), and a standard proof of Proposition 6. We include it here, in case it is useful. While the leading term of $f(\mathbf{a}; t)$ is well-known, this approach (together with Theorem 8) also allows us to compute the second leading term(s) as well:

Proposition 19. *Let $\mathbf{a} = (a_1, \dots, a_n)$ be a vector of relatively prime positive integers, and let $m = \text{lcm}(\mathbf{a})$. For $1 \leq i \leq n$, let $d_i = \text{gcd}(\mathbf{a}_{-i})$, and let $p = d_1 \cdots d_n$. Then*

1. $f(\mathbf{a}; t)$ is a quasi-polynomial of period m , and the leading term of all of the constituent polynomials is

$$\frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1}.$$

2. If $d_i = 1$ for all i , then the leading two terms of all of the constituent polynomials are

$$\frac{1}{(n-1)!a_1 \cdots a_n} t^{n-1} + \frac{a_1 + \cdots + a_n}{2(n-2)!a_1 \cdots a_n} t^{n-2}.$$

3. For sufficiently large $s \in \mathbb{Z}_{\geq 0}$, $f((s+1)p) > f(sp)$.

Remark 20. Combining Proposition 19(2) and Theorem 8 allows us to compute the leading two terms even when $d_i > 1$, though the second term will now depend on the constituent polynomial: given $t \in \mathbb{Z}_{\geq 0}$, compute $r \in \mathbb{Z}_{\geq 0}$ such that $t \equiv r \pmod{p}$ and $f(\mathbf{a}; t) = f(\mathbf{a}; t - r)$, using Theorem 8(1) and (2) (r depends only on $t \pmod{p}$). Let $s \in \mathbb{Z}$ be such that $t = sp + r$, and then

$$f(\mathbf{a}; t) = f(\mathbf{a}; sp) = f(\mathbf{a}'; s),$$

by Theorem 8(3). The two leading terms of $f(\mathbf{a}'; s)$ are given by Proposition 19(2), and then these can be used to compute the two leading terms of $f(\mathbf{a}; t)$ as a quasi-polynomial in t , by substituting $s = (t - r)/p$. The second leading term will depend on $t \pmod{p}$.

In the next section, we prove Theorem 8, Theorem 12, Proposition 16, and Proposition 19. Then we conclude with some open questions.

2 Proofs

Proof of Theorem 8. Part 1 follows from the recurrence, Lemma 18. In particular, we proceed by induction on $\ell = \sum_j b_j$. If all b_j are zero, then this is trivially true: $f(sp+0) = f(sp)$. Now assume $b_i > 0$, for some i . By Lemma 18 and the induction hypothesis,

$$\begin{aligned} f\left(\mathbf{a}; sp + \sum_j a_j b_j\right) &= f\left(\mathbf{a}; sp + a_i(b_i - 1) + \sum_{j \neq i} a_j b_j\right) + f\left(\mathbf{a}_{-i}; sp + \sum_j a_j b_j\right) \\ &= f(\mathbf{a}; sp) + f\left(\mathbf{a}_{-i}; sp + \sum_j a_j b_j\right). \end{aligned}$$

We need to show that $f\left(\mathbf{a}_{-i}; sp + \sum_j a_j b_j\right) = 0$. Indeed, using the facts that p and a_j ($j \neq i$) are multiples of $d_i = \gcd(\mathbf{a}_{-i})$, that a_i is relatively prime to d_i (or else $\gcd(\mathbf{a}) > 1$), and b_i is not a multiple of d_i (since $0 < b_i < d_i$), we have

$$sp + \sum_j a_j b_j \equiv a_i b_i \not\equiv 0 \pmod{d_i}.$$

Such a number cannot be represented as a combination of \mathbf{a}_{-i} , since a_j ($j \neq i$) are multiples of d_i .

Part 2 uses a standard number theory trick to compute $\ell = \sum_j b_j$. In particular, given $t \in \mathbb{Z}_{\geq 0}$ let b_i ($1 \leq i \leq n$) be defined so that $0 \leq b_i < d_i$ and $b_i \equiv a_i^{-1}t \pmod{d_i}$ (a_i is invertible mod d_i , since they are relatively prime). Since a_j ($j \neq i$) is a multiple of d_i ,

$$\sum_j a_j b_j \equiv a_i b_i \equiv t \pmod{d_i}.$$

Since $p = d_1 \cdots d_n$ with the d_i pairwise coprime (or else $\gcd(\mathbf{a}) > 1$), the Chinese Remainder Theorem yields $\sum_j a_j b_j \equiv t \pmod{p}$. Let s be the integer $\left(t - \sum_j a_j b_j\right)/p$, so that $t = sp + \sum_j a_j b_j$, as desired.

Now assume $f(t) > 0$, and we need to prove $s \geq 0$. Recall that if we define $f(t) = 0$ for $t < 0$, then the recurrence in Lemma 18 applies for all $t \in \mathbb{Z}$, and therefore Part 1 (which only used that recurrence) holds for all $s \in \mathbb{Z}$. Then

$$f(sp) = f\left(sp + \sum_j a_j b_j\right) = f(t) > 0,$$

which requires that $s \geq 0$, as desired.

To prove Part 3, we must relate representations using \mathbf{a} to representations using \mathbf{a}' . In particular, suppose $sp = \sum_j a_j x_j$ ($x_j \in \mathbb{Z}_{\geq 0}$) is a representation of sp by \mathbf{a} . For each i , p and a_j ($j \neq i$) are multiples of d_i , and so

$$a_i x_i \equiv \sum_j a_j x_j = sp \equiv 0 \pmod{d_i}.$$

Since a_i and d_i are relatively prime, x_i must be a multiple of d_i . Let $y_i \in \mathbb{Z}_{\geq 0}$ be such that $x_i = d_i y_i$. Then

$$sp = \sum_i a_i x_i = \sum_i \left(\prod_{j \neq i} d_j\right) a'_i \cdot d_i y_i = p \sum_i a'_i y_i,$$

So $s = \sum_i a'_i y_i$ is a representation of s by \mathbf{a}' . Conversely, given any representation $s = \sum_i a'_i y_i$ ($y_i \in \mathbb{Z}_{\geq 0}$) by \mathbf{a}' , $sp = \sum_i a_i (d_i y_i)$ is a representation of sp by \mathbf{a} . Therefore

$f(\mathbf{a}; sp) = f(\mathbf{a}'; p)$, as desired.

Part 4 requires a deeper understanding of the function $f(t)$. First, we assume without loss of generality (by Part 3) that $d_i = 1$ for all i , so we are trying to prove that $f(s+1) > f(s)$, for sufficiently large $s \in \mathbb{Z}_{\geq 0}$. The complication is that $f(s)$ and $f(s+1)$ are evaluated on different constituent polynomials of f , and it seems like these might “jump around.” We use the recurrence, Lemma 18, to show that $f(s)$ and $f(s+1)$ can both be related to the same $f(s-q)$ and therefore to each other, and this relation will entail that $f(s+1) - f(s)$ is eventually positive.

Indeed, we know that all sufficiently large integers can be represented by \mathbf{a} . In particular, let $q \in \mathbb{Z}_{\geq 0}$ be such that q and $q+1$ are both representable; that is, $q = \sum_i a_i x_i$ and $q+1 = \sum_i a_i y_i$ for $x_i, y_i \in \mathbb{Z}_{\geq 0}$. Take $s \in \mathbb{Z}_{\geq 0}$ sufficiently large (in particular, take $s \geq q$). We will use Lemma 18 repeatedly to relate both $f(s)$ and $f(s+1)$ to $f(s-q)$. Let’s start by applying the recursion x_1 times on $f(\mathbf{a}; s)$, using $i = 1$:

$$\begin{aligned} f(\mathbf{a}; s) &= f(\mathbf{a}; s - a_1) + f(\mathbf{a}_{-1}; s) = \\ &= f(\mathbf{a}; s - 2a_1) + f(\mathbf{a}_{-1}; s - a_1) + f(\mathbf{a}_{-1}; s) = \dots \\ &= f(\mathbf{a}; s - a_1 x_1) + \sum_{j=0}^{x_1-1} f(\mathbf{a}_{-1}; s - ja_1). \end{aligned}$$

Now apply the recursion x_2 times with $i = 2$, and so on, and we get constants (independent of s) $u_{ij} \in \mathbb{Z}_{\geq 0}$ such that

$$f(\mathbf{a}; s) = f(\mathbf{a}; s - q) + \sum_{i=1}^n \sum_{j=0}^{x_i-1} f(\mathbf{a}_{-i}; s - u_{ij}).$$

Now if we do the same thing for $f(\mathbf{a}; s+1)$, applying the recursion y_1 times with $i = 1$ and so forth, we get constants $w_{ij} \in \mathbb{Z}_{\geq 0}$ such that

$$f(\mathbf{a}; s+1) = f(\mathbf{a}; s+1 - (q+1)) + \sum_{i=1}^n \sum_{j=0}^{y_i-1} f(\mathbf{a}_{-i}; s+1 - w_{ij}).$$

Subtracting the two equations, the term $f(\mathbf{a}; s - q) = f(\mathbf{a}; s+1 - (q+1))$ cancels, and we are left with

$$f(\mathbf{a}; s+1) - f(\mathbf{a}; s) = \sum_{i=1}^n \sum_{j=0}^{y_i-1} f(\mathbf{a}_{-i}; s+1 - w_{ij}) - \sum_{i=1}^n \sum_{j=0}^{x_i-1} f(\mathbf{a}_{-i}; s - u_{ij}),$$

and we want to show that this quantity is (eventually) positive. By Proposition 6, $f(\mathbf{a}_{-i}; s)$ is a quasi-polynomial with leading term

$$\frac{1}{(n-2)! \prod_{j \neq i} a_j} s^{n-2}$$

(note that we are using that $\gcd(\mathbf{a}_{-i}) = d_i = 1$). Therefore $f(\mathbf{a}; s + 1) - f(\mathbf{a}; s)$ is a quasi-polynomial with leading coefficient (on s^{n-2})

$$\begin{aligned} & \sum_{i=1}^n \sum_{j=0}^{y_i-1} \frac{1}{(n-2)! \prod_{j \neq i} a_j} - \sum_{i=1}^n \sum_{j=0}^{x_i-1} \frac{1}{(n-2)! \prod_{j \neq i} a_j} \\ &= \sum_{i=1}^n \frac{a_i y_i}{(n-2)! a_1 \cdots a_n} - \sum_{i=1}^n \frac{a_i x_i}{(n-2)! a_1 \cdots a_n} \\ &= \frac{1}{(n-2)! a_1 \cdots a_n} \left[\sum_{i=1}^n a_i y_i - \sum_{i=1}^n a_i x_i \right] \\ &= \frac{1}{(n-2)! a_1 \cdots a_n} ((q+1) - q) \\ &= \frac{1}{(n-2)! a_1 \cdots a_n}. \end{aligned}$$

Since this is a positive leading term, $f(\mathbf{a}; s + 1) - f(\mathbf{a}; s)$ will eventually be positive, as desired. □

Proof of Theorem 12. Let s be sufficiently large. By Theorem 8, the set of t with $f(t) = f(sp)$ is exactly

$$\left\{ sp + \sum_i a_i b_i : 0 \leq b_i < d_i \right\}.$$

We simply need to check what that means for all of our different values:

The largest element of this set occurs at $b_i = d_i - 1$ for all i , so

$$g_{=f(sp)} = sp + \sum_{i=1}^n (d_i - 1) a_i.$$

The smallest element of this set occurs at $b_i = 0$ for all i , so

$$h_{=f(sp)} = sp.$$

The number of elements in this set is

$$c_{=f(sp)} = d_1 \cdots d_n = p.$$

The sum of the elements in this set is

$$\begin{aligned}
s_{=f(sp)} &= \sum_{b_1=0}^{d_1-1} \cdots \sum_{b_n=0}^{d_n-1} \left(sp + \sum_{i=1}^n a_i b_i \right) \\
&= d_1 \cdots d_n sp + \sum_{i=1}^n \left(\prod_{j \neq i} d_j \cdot \sum_{b_i=0}^{d_i-1} a_i b_i \right) \\
&= sp^2 + \sum_{i=1}^n \left(\prod_{j \neq i} d_j \cdot \frac{a_i d_i (d_i - 1)}{2} \right) \\
&= sp^2 + \sum_{i=1}^n \frac{pa_i (d_i - 1)}{2}.
\end{aligned}$$

The generating function for this set is

$$\begin{aligned}
F_{=f(sp)}(x) &= \sum_{b_1=0}^{d_1-1} \cdots \sum_{b_n=0}^{d_n-1} x^{sp + \sum_{i=1}^n a_i b_i} \\
&= x^{sp} \prod_i (1 + x^{a_i} + \cdots + x^{(d_i-1)a_i}) \\
&= x^{sp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}.
\end{aligned}$$

Since $f(sp)$ is an increasing function of s (for sufficiently large s), we have that $g_{\leq f(sp)} = g_{=f(sp)}$ and $h_{\geq f(sp)} = h_{=f(sp)}$. To compute $c_{\leq f(sp)}$, we have to worry about small k . In particular, $c_{=0}$ might not be p (see Example 4), and it is possible that $f(rp) = f(r'p)$ for distinct (small) r, r' so that some $c_{=k}$ is a nontrivial multiple of p . But we will have (for sufficiently large s) that

$$c_{\leq f(sp)} = c_{=0} + \sum_{r=0}^s p = sp + C_1,$$

where C_1 is a constant. Similarly, we may compute

$$\begin{aligned}
s_{\leq f(sp)} &= s_{=0} + \sum_{r=0}^s \left(rp^2 + \sum_{i=1}^n \frac{pa_i (d_i - 1)}{2} \right) \\
&= s_{=0} + \frac{s^2 p^2 + sp^2}{2} + (s+1) \sum_{i=1}^n \frac{pa_i (d_i - 1)}{2} \\
&= \frac{1}{2}(sp)^2 + \left(\frac{p + \sum_{i=1}^n a_i (d_i - 1)}{2} \right) sp + C_2,
\end{aligned}$$

where C_2 is a constant. Finally,

$$\begin{aligned} F_{\geq f(sp)}(x) &= \sum_{r=s}^{\infty} F_{=f(rp)}(x) \\ &= \sum_{r=s}^{\infty} x^{rp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}} \\ &= \frac{x^{sp}}{1 - x^p} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}}. \end{aligned}$$

□

Proof of Proposition 16. In this setting, Theorem 12(3) drastically simplifies the calculation of f , allowing us to make quick work of the rest. In particular, note that the d_i as defined in the proposition are indeed $d_i = \gcd(\mathbf{a}_{-i})$, as required to apply Theorem 12. By Theorem 8(1) and (2), we may concentrate on $f(sp)$ for $s \in \mathbb{Z}_{\geq 0}$. So let $s \in \mathbb{Z}_{\geq 0}$ be given, and let $k = \binom{s+n-1}{n-1}$. We have (in the notation of Theorem 8(3))

$$a'_i = \frac{a_i}{\prod_{j \neq i} d_j} = 1,$$

for all i . Then by Theorem 8(3),

$$f(\mathbf{a}; sp) = f(\mathbf{a}'; s) = f((1, \dots, 1); s) = \binom{s+n-1}{n-1} = k$$

(this calculation is a classical combinatorics problem on compositions: $f((1, \dots, 1); s)$ is the number of ways to write $s = x_1 + \dots + x_n$, where $x_i \in \mathbb{Z}_{\geq 0}$, which is the number of ways to shuffle s identical “stars” and $n - 1$ identical “bars”). Now we may simply apply Theorem 12, and using that $p = a_i d_i$:

$$\begin{aligned}
g_{=f(sp)} = g_{\leq f(sp)} &= sp + \sum_{i=1}^n (d_i - 1)a_i \\
&= sp + \sum_{i=1}^n (p - a_i) \\
&= (s + n)p - \sigma, \\
h_{=f(sp)} = h_{\geq f(sp)} &= sp, \\
c_{=f(sp)} &= p, \\
s_{=f(sp)} &= sp^2 + \sum_{i=1}^n \frac{pa_i(d_i - 1)}{2} \\
&= sp^2 + \sum_{i=1}^n \frac{p^2 - pa_i}{2} \\
&= \frac{2sp^2}{2} + \frac{np^2 - p\sigma}{2} \\
&= \frac{p((2s + n)p - \sigma)}{2}, \\
F_{=f(sp)}(x) &= x^{sp} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}} \\
&= \frac{x^{sp} (1 - x^p)^n}{(1 - x^{a_1}) \cdots (1 - x^{a_n})}, \\
F_{\geq f(sp)}(x) &= \frac{x^{sp}}{1 - x^p} \prod_i \frac{1 - x^{d_i a_i}}{1 - x^{a_i}} \\
&= \frac{x^{sp} (1 - x^p)^n}{(1 - x^p) (1 - x^{a_1}) \cdots (1 - x^{a_n})} \\
&= \frac{x^{sp} (1 - x^p)^{n-1}}{(1 - x^{a_1}) \cdots (1 - x^{a_n})}.
\end{aligned}$$

Finally, using that $c_{=0} = \frac{(n-1)p - \sigma + 1}{2}$ from Tripathi [20],

$$\begin{aligned}
c_{\leq k} &= c_{=0} + \sum_{r=0}^s c_{=(\binom{r+n-1}{n-1})} \\
&= \frac{(n-1)p - \sigma + 1}{2} + \sum_{r=0}^s p \\
&= (s+1)p + \frac{(n-1)p - \sigma + 1}{2}.
\end{aligned}$$

□

Proof of Proposition 19. We will be brief, since much of this is classical; see Wilf's text [21, Section 3.15], for example. Define $G(x) = \sum_{t=0}^{\infty} f(\mathbf{a}; t)x^t$. We see that

$$G(x) = (1 + x^{a_1} + x^{2a_1} + \cdots) \cdots (1 + x^{a_n} + x^{2a_n} + \cdots) = \frac{1}{\prod_i (1 - x^{a_i})}.$$

We will use the partial fraction expansion of $G(x)$ to get our results. All of the poles of G are m th roots of unity, where $m = \text{lcm}(\mathbf{a})$. One pole is $x = 1$, of order n . Label the other roots of unity by ζ_j , for $1 \leq j < m$, and suppose ζ_j is a pole of order b_j . Then the partial fraction expansion of $G(x)$ yields that there exist $C_\ell, D_{j\ell} \in \mathbb{Q}$ such that

$$G(x) = \sum_{\ell=1}^n \frac{C_\ell}{(1-x)^\ell} + \sum_{j=1}^{m-1} \sum_{\ell=1}^{b_j} \frac{D_{j\ell}}{(1-x/\zeta_j)^\ell}.$$

Suppose ζ_j is a primitive r th root of unity. Then a term $\frac{D_{j\ell}}{(1-x/\zeta_j)^\ell}$, if expanded out as a product of geometric series, contributes a degree $\ell - 1$ quasi-polynomial of period r to $f(t)$. Summed together, we will have a period m quasi-polynomial. We can see that ζ_j is a root of exactly those $1 - x^{a_i}$ such that r divides a_i ; therefore, it will be a pole of order $b_j = |\{i : r \text{ divides } a_i\}|$.

Since $\text{gcd}(\mathbf{a}) = 1$, we must have $b_j \leq n - 1$, and so the only degree $n - 1$ piece will come from

$$C_n/(1-x)^n = \sum_{t=0}^{\infty} C_n \binom{t+n-1}{n-1} x^t$$

(the t th coefficient in the power series will be the number of ways to write $t = c_1 + \cdots + c_n$ with $c_i \in \mathbb{Z}_{\geq 0}$, the same classic combinatorics problem as in the proof of Proposition 16).

Furthermore, if $d_i = 1$ for all i , then no $r > 1$ can divide $n - 1$ of the a_i , and so $b_j \leq n - 2$, and the only degree $n - 1$ and $n - 2$ pieces will come from

$$C_n/(1-x)^n + C_{n-1}/(1-x)^{n-1} = \sum_{t=0}^{\infty} \left(C_n \binom{t+n-1}{n-1} + C_{n-1} \binom{t+n-2}{n-2} \right) x^t.$$

Noting that

$$C_n = (1-x)^n G(x) \Big|_{x=1} \quad \text{and} \quad C_{n-1} = \frac{d}{dx} (1-x)^n G(x) \Big|_{x=1},$$

we compute that

$$C_n = \frac{1}{a_1 \cdots a_n} \quad \text{and} \quad C_{n-1} = \frac{a_1 + \cdots + a_n - n}{2a_1 \cdots a_n},$$

and we can compute that

$$\begin{aligned} & C_n \binom{t+n-1}{n-1} + C_{n-1} \binom{t+n-2}{n-2} \\ &= \frac{1}{(n-1)! a_1 \cdots a_n} t^{n-1} + \frac{a_1 + \cdots + a_n}{2(n-2)! a_1 \cdots a_n} t^{n-2} + \text{lower order terms.} \end{aligned}$$

This gives the first leading term of $f(t)$, in general, and the first two leading terms when $d_i = 1$ for all i , and so Parts (1) and (2) are proved.

To prove Part (3), Theorem 12(3) allows us to assume without loss of generality that $d_i = 1$ for all i , and we want to prove that $f(s+1) > f(s)$ for sufficiently large s . Indeed, the leading term of $f(s+1) - f(s)$, when expanded out as a quasi-polynomial using Part (2), is

$$\frac{1}{(n-2)!a_1 \cdots a_n} s^{n-2}.$$

Since this is a positive leading term, $f(s+1) - f(s)$ must eventually be positive, as desired. \square

3 Open Questions

Question 21. We have made no effort to quantify what *sufficiently large* means in any of these theorems, but probably one can, since $f(t)$ is so “well-behaved” here. What bounds can we give for when the results hold?

Question 22. The $n = 2$ case is well understood (see Proposition 15), and finding formulas for $n \geq 4$ seems very difficult even in the $k = 0$ case. It seems possible that there are interesting formulas when $n = 3$, however. For example, when $n = 3$ and $k = 0$, there are reasonable formulas (see Ramírez Alfonsín [16, Chapter 2], and, for a generating function approach, see Denham [10]). Are there interesting formulas for $n = 3$ and general k ?

Question 23. Let $P \subseteq \mathbb{R}^n$ be a d -dimensional polytope whose vertices are rational, and let m be the smallest integer such that the vertices of mP (P dilated by a factor of m) are integers. Then Ehrhart [11] proves that $f(t) = |tP \cap \mathbb{Z}^n|$ is a quasi-polynomial of period m (see the Beck and Robins text [8] for many more details). This is a generalization of our problem, as taking P to be the convex hull of \mathbf{e}_i/a_i ($1 \leq i \leq n$), where \mathbf{e}_i is i th standard basis vector, yields the Frobenius $f(t)$. One can define $g_{\leq k}$, and so forth, using this new f , and Aliev, De Loera, and Louveaux [1] study structural and algorithmic results related to this. Do some of the results of this current paper generalize to that more general setting?

Question 24. What can we say about the computational complexity of computing $g_{\leq k}$, $c_{\leq k}$, and so forth? If n is not fixed, then Ramírez Alfonsín [15] shows that even computing $g_{=0}$ is NP-hard. On the other hand, if n is fixed, then Kannan [13] shows that $g_{=0}$ can be computed in polynomial time, and Barvinok and Woods [4] show that $c_{=0}$ and other quantities can be computed in polynomial time. Generalizing, Aliev, De Loera, and Louveaux [1] show that, for fixed n and k , $g_{\leq k}$ and other quantities can be computed in polynomial time, even in the general setting of $f(t) = |tP \cap \mathbb{Z}^n|$.

This leaves the open question: Can these quantities be computed in polynomial time if n is fixed, but a_1, \dots, a_n and k are the input? Nguyen and Pak [14] prove that this is NP-hard in the general setting of $f(t) = |tP \cap \mathbb{Z}^n|$, disproving a conjecture from [1]. However, to do this, they construct a polytope $P \subseteq \mathbb{R}^6$ whose $f(t)$ varies wildly across the constituent polynomials, which is not true for our Frobenius $f(t)$ (see Theorem 8).

When k is sufficiently large, Theorem 12 applies: For any given t , we can compute $f(t)$ in polynomial time, using the result of Barvinok [5] that $|P \cap \mathbb{Z}^n|$ can be computed in polynomial time for fixed n ; then binary search allows us to find s such that $f(sp) \leq k < f((s+1)p)$, and Theorem 12 gives us $g_{=k}$. But what if k is bigger than a constant but not “sufficiently large” for Theorem 12 to hold?

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