K-REV: The KNIGHT-REVISION OF HORNBOSTEL-SACHS

A system for musical instrument classification

by Roderic Knight, Oberlin College, © 2015

Organology, or the scientific study of musical instruments, has ancient roots. In China, a system of classification known as the *pa yin* or "eight sounds" was devised in the third millennium BCE. It was based on eight materials used in instrument construction (but not necessarily in sound production) and allied to other physical and metaphysical phenomena. More recently, but still in ancient times, the Indian sage Bharata outlined in his *Natyashastra* (ca. 200 CE) a classification based on how the sound is produced: by blowing (*sushira*), setting a string in motion (*tata*), hitting a stretched skin (*avanaddha*), or hitting something solid (*ghana*). This system endures as a worldwide phenomenon today because Victor Mahillon adopted it for his catalog of the instruments in the Brussels Conservatory museum in the 19th century, and because his system was picked up in turn by Erich M. von Hornbostel and Curt Sachs in producing their seminal *Systematik der Musikinstrumente* (Classification of Musical Instruments) in 1914.

Hornbostel and Sachs sought to universalize the Mahillon catalog by developing a hierarchy of terms that could encompass all the methods of sound production known to humankind. They used three of Mahillon's terms: **aerophone**, for the "winds and brass" of the orchestra and all other instruments that produce a sound by exciting the air directly; **chordophone**, for all stringed instruments (including the keyboards); and **membranophone** for drums. Hornbostel and Sachs replaced Mahillon's fourth term, autophone (for instruments whose body itself, or some part of the body, produces the sound – the Indian *ghana* type), with their newly coined term, **idiophone**, to avoid the ambiguous implication that an "autophone" might sound by itself.

In Hornbostel-Sachs, an instrument is assigned a number. It may be a single digit, such as 1, indicating nothing more than the broad class, such as idiophone. More typically, an H-S number might have 3 to 6 digits, or as many as 9 or 10 (separated every three by a decimal point), to provide the degree of specificity needed to distinguish one instrument from another. The numbering method is based on the Dewey Decimal System, which was in common use by libraries at the time the system was devised.

Although Hornbostel-Sachs is the most widely used method for classifying instruments, applied to instrument collections worldwide, translated into English in 1961, and taught regularly, it is also fraught with problems that have been tackled by many scholars over the century since its introduction. The system now includes a fifth term, coined by Francis W. Galpin in 1937, **electrophone**. The most current version of H-S, prepared in 2011 by the MIMO Consortium (Musical Instrument Museums Online), is available at: http://network.icom.museum/cimcim/resources/classification-of-musical-instruments.

The establishment in 2008 of the Roderic C. Knight Musical Instrument Collection at Oberlin College served as a catalyst for a new approach. It is called the Knight-Revision of Hornbostel-Sachs, or K-Rev for short. The four H-S terms are retained, as is the numbering system, but because the internal subdivisions of the classes have been largely reworked, the numbers do not match the H-S numbers. To assure the two are never confused, K-Rev numbers begin with a letter, as follows:

- Y for Idiophone (Y is used for I to avoid resembling a Roman numeral I) a solid or hollow body produces the sound
- M for Membranophone a stretched membrane or diaphragm produces the sound
- C for Chordophone a stretched string produces the sound
- A for Aerophone blowing air into an object or moving it through the air produces the sound
- E for Electrophone electric or electronic circuits produce the sound

The RCK Collection may be used to study K-Rev. A K-Rev number has been assigned to each instrument. An overview of the system is presented below. To access a downloadable pdf of the complete 44-page document, go here: http://www.oberlin.edu/faculty/rknight/Organology/KNIGHTREVISION.html

Overview of the Knight-Revision of Hornbostel-Sachs (K-Rev) © 2015 Roderic Knight

IDIOPHONE	MEMBRANOPHONE	CHORDOPHONE	<u>AEROPHONE</u>
Y1 Concussion	M1 Struck	C1 Variable tension	A1 Ambient (Free)
11 Plaque	11 One head, open	11 No neck	11 Slicing
12 Bar	11.1 Vessel	12 Single neck	12 Beating (bull roarer)
13 Dish	11.11 Cylinder	13 Forked neck	13 Whip (sonic boom)
14 Vessel	11.12 Cone	C2 Musical barr	
	11.13 Waisted	C2 Musical bow	A2 Blown
Y2 Struck	11.14 Barrel	21 Mouth resonated	21 Open
21 Plaque	11.15 Goblet	22 Gourd resonated	21.1 Edge (flute)
22 Bar	11.16 Vase	C3 Pluriarc	21.11 Vessel
23 Vessel	11.17 (other shapes)	9 0	21.111 No duct
	11.2 Frame	C4 Harp	21.112 Duct
Y3 Stamped	11.21 Circular	41 Strings-over	21.12 Vertical
31 Globe	11.22 Polygonal	41.1 Forked	21.121 No duct
32 Tube	100 1 1 1	41.2 Spike	21.122 Duct
	12 One head, closed	41.21 Curved neck	21.13 Oblique
Y4 Shaken	12.1 Deep (vessel)	41.22 Straight neck	21.14 Transverse
41 Vessel	12.11 Cylinder	(Bridge harp)	21.2 Chamber duct
42 Sliding	12.12 Kettle	42 Strings-in	21.21 Simple
43 Solid	12.13 Barrel	42.1 Arched	21.22 Vented
43.1 Sheet	12.2 Shallow (frame)	42.2 Angled	21.3 Corrugated pipe
43.2 Spring	13 Two heads	G# 71.1	21.4 Siren (pulsated)
44 Concussion	13.1 Vessel	C5 Zither	22 Reed
45 Sympathetic	13.11 Cylinder	51 Stick or bar	
	13.12 Cone	52 Tube	22.1 Free (Hard)
Y5 Scraped	13.13 Hourglass	53 Raft	22.2 Beating (Soft)
51 Organic	13.14 Barrel	54 Board	22.21 Normally open
(wood, gourd)	13.15 Ang. Barrel	55 Box	22.211 Conical bore
52 Manufact'd	13.2 Frame	56 Trough	22.211.1 Single reed 22.211.2 Double reed
(metal, cloth,	13.2 11ame	57 Harp zither	
sandpaper)	M2 Shaken	58 Frame	22.212 Cylindrical bore
1 1 /	21 External strikers	C6 Lute	22.212.1 Single reed
Y6 Friction	21.1 Opposed	61 Plucked	22.212.2 Double reed
61 Solid	hemispheres	61.1 One piece	22.212.3 Free on pipe
62 Vessel	21.2 Hourglass	61.2 Multi-part	22.213 Mouthpiece only
	21.3 Frame	61.21 Neck attached	22.22 Normally closed
Y7 Plucked	22 Internal strikers	61.22 Spike	22.221 Split or crushed 22.222 Membrano-reed
71 Frame	22 Internal strikers	61.23 Half-spike	22.222 Weinbrand-reed 22.3 Ribbon Reed
72 Board	M3 Friction	62 Bowed	22.5 Kibbon Reed
	31 One head	62.1 One piece	23 Lip reed
Y8 Blown	32 Two heads	62.2 Multi-part	23.1 Narrow compass
81 Wood	3510	62.21 Neck attached	23.11 Fixed length
82 Metal	M4 Sympathetic	62.22 Spike	23.12 Variable length
	(mirliton)	62.23 Half-spike	23.2 Wide compass
Y9 Deformed		02.23 Han-spike	23.21 Fixed length
91 Diaphragm		C7 Lyre	23.22 Variable length
92 Blade		71 Bowl	(fingerhole, slide, valve)
		71 Bow1 72 Box	
		12 DUA	A3 Plosive
			21 (2) 1

31 Closed 32 Open