

Homotopy properties of decomposition spaces*

by

Neelima Shrikhande (Mt. Pleasant, Mich.)

Abstract. Let X be a continuum (compact, connected set) in E^n . Then the homotopy type of the decomposition space E^n/X depends only on the shape of X . We also show a necessary and sufficient condition for E^n/X to be locally simply connected. This is the “nearly-1-movable” property of continua described by D. R. McMillan. Thus the local simple connectedness of decomposition space also depends only on the shape of X .

Introduction. Let X be a continuum (compact, connected set) in Euclidean n -space E^n . We investigate the homotopy properties of decomposition space E^n/X obtained by identifying X to a point and giving the resulting space the quotient topology.

We first show that the homotopy type of E^n/X depends only on the shape of X . This generalizes previous results of D. Henderson [7], S. Mardesič [9], and R. Geoghegan and R. Summerhill [6]. There are continua X and Y which have the same shape, but their decomposition spaces are not homeomorphic (for example, two arcs in E^3 , one cellular and one noncellular). On the other hand, there are homeomorphic decomposition spaces of two continua X, Y where X, Y do not have the same shape.

D. R. McMillan [11] defined the concept of “nearly-1-movable”. We show that the property of a continuum being nearly-1-movable is necessary and sufficient for E^n/X being locally simply connected. Thus by [12], this property is also equivalent to E^n/X being simply connected. As a corollary we get the results that E^3 modulo a solenoid or E^3 modulo the ‘Case-Chamberlin continuum’ [4] are not simply connected. The first result was announced by R. H. Bing in [1]. The second result was shown by S. Armentrout. Both proofs are unpublished.

Throughout the paper we use the geometric approach to Shape theory as defined by Borsuk [2].

§ 1. We show that if two continua X and Y in E^n have the same shape then their decomposition spaces have the same homotopy type.

* The contents of this paper form a part of the Author's Ph. D. thesis written at Madison, Wisconsin under the direction of Professor D. R. McMillan, Jr.

Let $Q = \prod_1^n [-1, 1]$ denote the Hilbert cube. We identify $E^n = \prod_1^n (-1, 1)$ in the first n factors of Q , and the unit ball

$$B^n = \prod_1^n [-\frac{1}{2}, \frac{1}{2}] \subset E^n \subset Q.$$

If X is a continuum in B^n , B^n/X can be considered as a subset of $E^n/X \subset Q/X$. We state a theorem of K. Borsuk [3] in this notation.

THEOREM [K. Borsuk]. *Let W be a strong deformation retract of \hat{W} . Let X be a continuum in W . Then W/X is a strong deformation retract of \hat{W}/X .*

Thus in particular, \hat{W}/X and W/X have the same homotopy type.

COROLLARY. *Since B^n is a strong deformation retract of both Q and E^n , therefore Q/X and E^n/X have the same homotopy type.*

THEOREM 1. *Let $X, Y \subset E^n$ be continua such that $Sh(X) = Sh(Y)$. Then E^n/X has the same homotopy type as E^n/Y .*

Proof. Since we are considering E^n as imbedded in the first n factors of Q , X and Y are z -sets in Q . Thus by Chapman [5],

$$Q - X \text{ is homeomorphic to } Q - Y.$$

Let $h: Q - X \rightarrow Q - Y$ be a homeomorphism.

Define $\bar{h}: Q/X \rightarrow Q/Y$ to be $\bar{h}(x) = h(\bar{x})$, $\bar{x} \notin X$, $\bar{h}(X) = Y$. Then \bar{h} is continuous since h is a proper map. Since \bar{h} is a 1 to 1, continuous function between compact spaces, it is a homeomorphism. Thus $Q/X \cong Q/Y$.

By corollary above E^n/X has the same homotopy type as E^n/Y .

QUESTION. Let X, Y be continua in E^n . Let $Sh X \geq Sh Y$. Does E^n/X homotopically dominate E^n/Y ?

Remark. We know by [12] that if X, Y are continua in E^n (or Q), $Sh X \geq Sh Y$ and E^n/X is simply connected, then E^n/Y is also simply connected.

§ 2. A compact set $X \subset Q$ is said to be *nearly-1-movable* if for some (and hence for every) embedding of X in Q , and each open set U in Q containing X , there is an open set V containing X such that V nearly-1-moves towards X in U .

That is, given any loop

$$l: S^1 \rightarrow V,$$

and any open W containing X , there is a map

$$g: B^2 - \bigcup_{i=1}^n D_i \rightarrow U$$

(D_i closed 2-cell $\subset \text{Int} B^2$, $i = 1, 2, \dots, n$, $D_i \cap D_j = \emptyset$, $i \neq j$) such that

$$g|_{\partial B^2} = l \quad \text{and} \quad g(\bigcup \partial D_i) \subset W.$$

In other words, every loop in V belongs to the normal closure in U of every neighborhood W of X .

D.R. McMillan has shown [11] that 1-movability implies near-1-movability and that this implication is irreversible. The solenoids as also the 'Case-Chamberlin continuum' [4] are not nearly-1-movable.

We show first that near-1-movability is a shape property.

LEMMA 2.1. *Let X, Y be continua in Q . If X is nearly-1-movable and $Sh X \geq Sh Y$ then Y is nearly-1-movable.*

Proof. There are fundamental sequences

$$f = \{f_k, X, Y\} \quad \text{and} \quad g = \{g_k, X, Y\}$$

such that $f \circ g = \text{id}_Y$.

Let U be any open set containing Y . Then there is

(i) U^1 containing Y and integer $N_1 > 0$ such that

$$f_k \circ g_k|_{U^1} \simeq f_{k+1} \circ g_{k+1}|_{U^1} \simeq \text{id}_Y|_{U^1} \text{ in } U \quad \text{for all } k > N_1.$$

(ii) There is a U_1 containing X and $N_2 > 0$ such that

$$f_k|_{U_1} \simeq f_{k+1}|_{U_1} \text{ in } U^1 \quad \text{for all } k > N_2.$$

(iii) There is a V_1 containing X and $N_3 > 0$ such that $X \subset V_1 \subset U$ and V_1 nearly-1-moves towards X in U .

(iv) There is V containing Y and $N_4 > 0$ such that

$$g_k|_V \simeq g_{k+1}|_V \text{ in } V_1 \quad \text{for all } k > N_4.$$

Then it is easy to see that V nearly-1-moves towards Y in U .

Thus near-1-movability is a shape property. To prove the 'if' part of our main theorem we use the notion of *local-1-connection*, as defined by G. Kozłowski in [8].

DEFINITION. The projection $p: E^n \rightarrow E^n/X$ is said to be a *local-1-connection* if for each open set U in E^n/X containing $\bar{X} = p(X)$, there is an open V in E^n/X , $\bar{X} \subset V \subset U$ such that every loop in $p^{-1}(V)$ projects to a loop that is homotopic to a constant in U .

THEOREM 2.2. *Let $X \subset E^n$ be a continuum. Then X is nearly-1-movable if and only if E^n/X is locally simply connected.*

Proof. First we show that if X is nearly-1-movable then $p: E^n \rightarrow E^n/X$ is a local-1-connection.

Let U be an open set containing $p(X) = \bar{X}$. $p^{-1}(U)$ is an open set in E^n and contains X . Since X is nearly-1-movable, there is a sequence of open sets $\{V_i\}_{i=0}^\infty$ with the following properties

(i) $V_0 = p^{-1}(U)$, $X \subset V_i$ for $i = 0, 1, 2, \dots$,

(ii) $V_i \subset V_{i-1}$,

(iii) every loop in V_i nearly-1-moves towards X in V_{i-1} .

We let $V = p(V_i)$, an open set. Let $l: S^1 \rightarrow V$ be a loop. There is $D_1: B^1 - \bigcup_{i=1}^{n_1} B_1^i \rightarrow U$ where each B_1^i is a 2-cell,

$$B_1^i \cap B_1^j = \emptyset, \quad i \neq j,$$

$$\bigcup B_1^i \subset \text{int} B^1 \quad \text{and} \quad \text{diam}(B_1^i) < 1,$$

such that

$$D_1|_{\partial B^1} = l \quad \text{and} \quad D_1(\bigcup \partial B_1^i) \subset V_2, \quad i = 1, 2, \dots, n.$$

Now $D_1|_{\partial B^1}$ is a loop in V_2 so there is $D_2^i: B_1^i - \bigcup_{j=1}^{m(2,i)} B_2^j \rightarrow V_1$ such that $\text{diam} B_2^j < \frac{1}{2}$ and $D_2^i|_{\partial B_1^i} = D_1|_{\partial B_1^i}$, and

$$D_2^i(\bigcup \partial B_2^j) \subset V_3.$$

We continue in this manner. Since the union of the i th stage is contained in some 2-cell B_j^{i-1} at the $(i-1)$ -st stage, it is possible to get a map D of B^1 minus a zero dimensional set S . (This is possible since the diameter of each B_j^i is less than $1/j$.)

We define a map from B^1 to U as follows:

$$D^1(y) = \begin{cases} P \circ D(y), & \text{if } y \in B^1 - S, \\ p(X), & \text{if } y \in S. \end{cases}$$

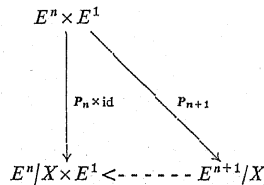
Since the image under D_j^i of the union of ∂B_j^i at each stage is contained in V_{i+1} , and the image of the zero dimensional set under D is contained in $p(X)$, therefore D^1 is continuous. Thus $D^1: B^1 \rightarrow U$ extends $p \circ l: S^1 \rightarrow P(V_1) = V$. Hence every loop in V_1 projects to a loop which homotopes to a constant in U . Thus p is a local-1-connection. To show that this implies that E^n/X is locally simply connected, we can apply lemma [1] of G. Kozłowski [8].

Conversely, assume E^n/X is locally simply connected. Let $X \subset E^n, X \setminus \{0\} \subset E^{n+1}$.

We consider X as a subset of $E^n \times \{0\}$ embedded in E^{n+1} as shown above.

We work in E^{n+1} to find sufficient space to shrink loops.

Consider this diagram



Define $F: E^{n+1}/X \rightarrow E^n/X \times E^1$ to be $F(\bar{y}, t) = (\bar{y}, t)$. Then $F \circ P_{n+1} = P_n \times \text{id}$.

It is easy to show that F is well defined and continuous. Let U be an open set in E^{n+1}/X containing $\bar{X} = P_{n+1}(X)$.

$P_{n+1}^{-1}(U)$ is an open set in E^{n+1} and contains X . Let $U^1 = P_{n+1}^{-1}(U) \cap E^n$ which is open in E^n ; and $X \subset U^1$.

Since E^n/X is locally simply connected, there is an open set V^1 in $E^n \times \{0\}$ with $X \subset V^1 \subset U^1$ such that every loop in $P_n(V^1)$ shrinks in $P_n(U^1)$.

There is an $\varepsilon > 0$ such that $V^1 \times [-\varepsilon, \varepsilon] \subset P_{n+1}^{-1}(U)$. Let $V = P_{n+1}(V^1 \times (-\varepsilon, \varepsilon))$; which is contained in U . We want to show that each loop in V shrinks in U . It is sufficient to show that P_{n+1} is a local 1-connection.

Let $l: S^1 \rightarrow V^1 \times (-\varepsilon, \varepsilon)$. Then l is freely homotopic in $V^1 \times (-\varepsilon, \varepsilon)$ to a loop l^1 in $V^1 \times \{0\}$. Now

$$P_n(l(S^1)) \subset P_n(V^1 \times \{0\}) \subset E^n/X \times \{0\}.$$

Hence $P_n \circ l: S^1 \rightarrow P_n(V^1 \times \{0\})$ extends to $g: B^2 \rightarrow P_n(U^1)$, so $F^{-1} \circ g|_{\partial B^2} = P_{n+1} \circ l|_{\partial B^2}$.

Thus $P_{n+1} \circ l(S^1)$ shrinks in $P(U) \subset E^{n+1}/X$. Therefore E^{n+1}/X is locally simply connected.

Now we show that X is nearly-1-movable as a subset of E^{n+1} . Let U be open in E^{n+1} containing X . Choose $V^1 \subset P(U)$ by local simple connectedness. Let $V = P^{-1}(V^1)$. Let $l: S^1 \rightarrow V$ be a loop and let $W, X \subset W \subset V, W$ open, be given. We have to show that l belongs to the normal closure in U of W .

We can assume that $p \circ l(S^1)$ misses $P(X)$. For l is homotopic in V to a loop that misses X . $P \circ l: S^1 \rightarrow P(V) = V^1$ extends to a map $g: B^2 \rightarrow P(U)$.

Consider $g^{-1}(P(X))$ which is a compact set in the interior of B^2 .

Then $g^{-1}(P(X)) \subset g^{-1}(P(W)) \subset B^2$.

We can find a finite number of disjoint simple closed curves R_1, R_2, \dots, R_n with the following properties.

Let B_i denote the component of $B^2 - R_i$ that misses ∂B^2 . Then the B_i 's are disjoint and $\bigcup B_i$ contains $g^{-1}(P(X))$ and such that the images of these simple closed curves R_i lie in W . (Such a collection of simple closed curves can be obtained by taking a brick decomposition of B^2 that has mesh smaller than

$$\frac{1}{10} \left(\text{dist}(g^{-1}(P(X)), B^2 - g^{-1}(P(W))) \right)$$

and taking the relevant part of the boundary of the star of $g^{-1}(P(X))$.)

Now $g(B^2 - \bigcup_{i=1}^n B_i)$ can be lifted to U . Thus there is a map

$$p^{-1} \circ g = \bar{g}: B^2 - \bigcup_{i=1}^n B_i \rightarrow U$$

such that

$$\bar{g}(\partial B_i) \subset W, \quad \bar{g}|_{\partial B^2} = l.$$

So l belongs to the normal closure in U of W . Therefore X is nearly-1-movable.

§ 3. Movability properties are related to the UV properties [10] as follows.

Property 1-UV for a compactum X clearly implies 1-movability.

Conversely,

THEOREM 3.1. *Let X be a continuum in E^n having the property that for any neighborhood U of X the only loop that belongs to the normal closure in U of each neighborhood W of X is the trivial loop. Then X is nearly-1-movable if and only if X is 1-UV.*

Proof. Let X be nearly-1-movable. Let U be an open set containing X . Choose V so that each loop in V belongs to the normal closure in U of each open W . $X \subset W \subset V$. But only such loops are trivial loops. Thus X is 1-UV.

COROLLARY. *If X is as above, then X has property 1-UV if and only if E^n/X is locally simply connected.*

Proof. Clear.

As a corollary, we get the following theorem of D. R. McMillan [10].

THEOREM. *If X is compact connected strongly 1-acyclic, then X is 1-UV if and only if E^n/X is locally simply connected.*

Proof. Strongly acyclic continua satisfy the property in Theorem 3.1.

References

- [1] R. H. Bing, *Conditions under which monotone decompositions of E^3 are simply connected*, Bull. Amer. Math. Soc. 63 (1957), p. 143, Abstract No. 325.
- [2] K. Borsuk, *Concerning homotopy properties of compacta*, Fund. Math. 62 (1968), pp. 223–254.
- [3] — *On the homotopy type of some decomposition spaces*, Bull. Acad. Polon. Sci. Sér. Sci. Math. Astronom. Phys. 18 (1970), pp. 235–239.
- [4] J. H. Case and R. E. Chamberlin, *Characterizations of tree-like continua*, Pacific J. Math. 10 (1960), pp. 73–84.
- [5] T. A. Chapman, *On some applications of infinite dimensional manifolds to the theory of shape*, Fund. Math. 76 (1972), pp. 181–193.
- [6] R. Geoghegan and R. Summerhill, *Concerning the shapes of finite dimensional compacta*, Trans. Amer. Math. Soc. 179 (1973), pp. 291–292.
- [7] D. W. Henderson, *Applications of infinite dimensional manifolds to quotient spaces of complete ANR's*, Bull. Acad. Polon. Sci. Sér. Sci. Math. Astronom. Phys. 19 (1971), pp. 747–753.
- [8] G. Kozłowski, *Factorization of certain maps up to homotopy*, Proc. Amer. Math. Soc. 21 (1969), pp. 88–92.
- [9] S. Marděšić, *On the shape of quotient spaces S^n/A* , Bull. Acad. Polon. Sci. Sér. Sci. Math. Astronom. Phys. 19 (1971), pp. 623–629.
- [10] D. R. McMillan, Jr., *Acyclicity in 3-manifolds*, Bull. Amer. Math. Soc. 76 (1970), pp. 942–964.
- [11] — *One dimensional shape properties and three manifolds*, Studies in topology, Proc. Top. Conf. at Charlotte, NC., 1974, Academic Press, NY (1975), pp. 367–381.
- [12] — and N. Shrikhande, *On the simple connectivity of a quotient space*, Glasnik Matematički 12 (32) (1977), pp. 113–124.

CENTRAL MICHIGAN UNIVERSITY
Mt. Pleasant, Michigan

Accepté par la Rédaction le 16. 6. 1980

Yosida-Fukamiya's theorem for f -rings

by

Jean Trias Pairó (Barcelona)

Abstract. We introduce the concept of super-infinitely small element and prove that in a commutative f -ring with unity the J -radical coincides with the set of all super-infinitely small elements.

Preliminaries. We follow the notation and terminology of [1] and [5]. A lattice-ordered ring is an f -ring if $ax \wedge y = xa \wedge y = 0$ whenever $x \wedge y = 0$ and $a \geq 0$. If we put $x^+ = x \vee 0$, $x^- = (-x) \vee 0$ and $|x| = x^+ + x^-$, then a lattice-ordered ring is a d -ring if $|xy| = |x| \cdot |y|$, $\forall x, y$. The term ideal must be understood in the ring-theoretic sense. An ideal I is an l -ideal if $|x| \leq |y|$, $y \in I \Rightarrow x \in I$. We denote by $\langle a \rangle$ the l -ideal generated by $a \in A$. Following [1], an element $a \in A$ such that $\langle a \rangle = A$ is called a *formal unity*. An l -ideal I is a *band* if, whenever a subset of I has a supremum in A , that supremum belongs to I . The J -radical $J(A)$ of an f -ring A is defined as the intersection of all maximal (two-sided) l -ideals, if there is any. Otherwise, $J(A) = A$ by definition. The ring A is J -semisimple if $J(A) = 0$. An element $x \in A$ is *infinitely small* with respect to the element $y \in A$ whenever $n|x| \leq |y|$ holds for $n = 1, 2, \dots$. If we put $I_0(A) = \bigcup_{y \in A} I_0(y)$, where $I_0(y) = \{x \in A \mid x \text{ is infinitely small with respect to } y\}$, then A is Archimedean if and only if $I_0(A) = 0$. A lattice-ordered ring is *Dedekind complete* if every non-empty subset which is bounded from above has a supremum.

Introduction. In vector lattices with a strong unit the Yosida-Fukamiya's theorem [7] asserts that the *radical* — intersection of all maximal l -vector subspaces — is the set of all infinitely small elements. Here, for a commutative f -ring with unity, we obtain a result that is parallel to that of Yosida-Fukamiya. But in this context infinitely small elements are no more appropriate and it has been necessary to introduce a notion of "smallness" related to the product of the ring: that of *super-infinitely small element*. And the set of all super-infinitely small elements of A is proved to be $J(A)$.

Super-infinitely small elements and pseudoarchimedean rings.

DEFINITION 1. The element x of the lattice-ordered ring A is called *super-infinitely small element* with respect to $y \in A$ whenever $|a| \cdot |x| \leq |y|$ and $|x| \cdot |a| \leq |y|$ hold for every $a \in A$.