

## AN ADDENDUM TO THE VIETORIS-BEGLE THEOREM

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The classical Vietoris-Begle Theorem is improved by observing that the image of  $\hat{H}^n(f): \hat{H}^n(Y; G) \rightarrow \hat{H}^n(X; G)$  is  $\bigcap_{y \in Y} \ker(\hat{H}^n(X; G) \rightarrow \hat{H}^n(f^{-1}(y); G))$ . This implies the Dual Vietoris-Begle Theorem for Steenrod homology and pro-homology, in which case one can characterize the kernel of the induced homomorphism.

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Victoris-Begle Theorem	Steenrod homology
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### Introduction

First recall the famous

**Victoris-Begle theorem.** *Let  $f: X \rightarrow Y$  be a closed continuous surjective map between paracompact Hausdorff spaces. Assume that there is  $n \geq 0$  such that  $\hat{H}^k(f^{-1}(y); G) = 0$  for all  $y \in Y$  and for  $k < n$ . Then*

$$\bar{H}^k(f): \bar{H}^k(Y; G) \rightarrow \bar{H}^k(X; G)$$

*is an isomorphism for  $k < n$  and a monomorphism for  $k = n$ .*

Here  $\bar{H}^k(A; G)$  denotes the Alexander-Spanier cohomology of  $A$  with coefficients in a group  $G$ . For a proof see [15, p. 344] or [10]. Recently the following dual to the above theorem was proved by Volovikov and Nguen [16].

**Dual to Vietoris-Begle theorem.** *Let  $f: X \rightarrow Y$  be a surjective map between compact metrizable spaces. Assume that there is  $n \geq 0$  such that  $\hat{H}_k(f^{-1}(y); \mathbb{Z}) = 0$  for all  $y \in Y$  and for  $k < n$ . Then*

$$\bar{H}_k(f): \bar{H}_k(X; \mathbb{Z}) \rightarrow \bar{H}_k(Y; \mathbb{Z})$$

*is an isomorphism for  $k < n$  and an epimorphism for  $k = n$ .*

Their proof relies on the Leray spectral sequence (see [1])

The aim of this paper is to improve the Vietoris–Begle Theorem by stating that the image of  $\tilde{H}^n(f): \tilde{H}^n(Y; G) \rightarrow \tilde{H}^n(X; G)$  is  $\bigcap_{y \in Y} \ker(\tilde{H}^n(X; G) \rightarrow \tilde{H}^n(f^{-1}(y)))$ . Using this characterization of  $\text{im } \tilde{H}^n(f)$  we show that the Dual Vietoris–Begle Theorem is a consequence of the Vietoris–Begle Theorem. Then, as in the case of cohomology, we are able to shed light on the kernel of  $\tilde{H}_n(f): \tilde{H}_n(X; \mathbb{Z}) \rightarrow \tilde{H}_n(Y; \mathbb{Z})$ .

In the last part of the paper analogous results are proved for pro-homology and Čech homology.

Here  $\tilde{H}_n(A; \mathbb{Z})$  denotes the Alexander–Spanier (or Steenrod) homology of  $A$  with coefficients in the group of integers  $\mathbb{Z}$  (see [11]).

If  $A \subset B$  and  $\alpha \in \tilde{H}^k(B; G)$ , then  $\alpha/A$  denotes the image of  $\alpha$  by the homomorphism  $\tilde{H}^k(B; G) \rightarrow \tilde{H}^k(A; G)$  induced by the inclusion.

By the reduced homology  $\tilde{H}_k(A; G)$  (reduced cohomology  $\tilde{H}^k(A; G)$ ) we mean the kernel of  $\tilde{H}_k(A; G) \rightarrow \tilde{H}_k(pt; G)$  (the cokernel of  $\tilde{H}^k(pt; G) \rightarrow \tilde{H}^k(A; G)$ ). In both cases the homomorphism are induced by the projection  $A \rightarrow pt$ .

When using integral coefficients we will employ the notation  $\tilde{H}_n(A)$  for  $\tilde{H}_n(A; \mathbb{Z})$ .

### 1. Improved Vietoris–Begle theorem

**Theorem 1.** *Let  $f: X \rightarrow Y$  be a closed surjective map between paracompact Hausdorff spaces. Assume that there is  $n \geq 0$  such that  $\tilde{H}^k(f^{-1}(y); G) = 0$  for all  $y \in Y$  and for  $k < n$ . Then the sequence*

$$0 \rightarrow \tilde{H}^k(Y; G) \xrightarrow{\tilde{H}^k(f)} \tilde{H}^k(X; G) \xrightarrow{\gamma} \prod_{y \in Y} \tilde{H}^k(f^{-1}(y); G)$$

is exact for  $k \leq n$  where  $\gamma$  is induced by inclusion induced homomorphisms  $\tilde{H}^k(X; G) \rightarrow \tilde{H}^k(f^{-1}(y); G)$ .

**Proof.** It suffices to show that for each  $\alpha \in \tilde{H}^n(X; G)$  such that  $\alpha/f^{-1}(y) = 0$  for all  $y \in Y$  there is  $\beta \in \tilde{H}^n(Y; G)$  with  $\alpha = \tilde{H}^n(f)(\beta)$ . This follows from a technique used by Lawson [10] in the following way:

Let  $U$  be the family of all closed sets  $V$  of  $Y$  such that  $\alpha/f^{-1}(V) = \tilde{H}^n(f/f^{-1}(V))(\beta_V)$  for some  $\beta_V \in \tilde{H}^n(V; G)$ . In order to show that  $Y \in U$  it suffices to check the following properties of  $U$  (see [12]):

- (1) For each point  $y \in Y$  there is  $V \in U$  containing  $y$  in its interior.
- (2)  $V, W \in U$  implies  $V \cup W \in U$ .
- (3)  $V_s \in U$  for  $s \in S$  and  $\{V_s\}_{s \in S}$  is discrete implies  $\bigcup_{s \in S} V_s \in U$ .
- (4) If  $V \in U$  then each closed subset of  $V$  belongs to  $U$ .

If  $B \subset Y$ , then  $B'$  denotes  $f^{-1}(B)$ .

Property 1 follows from the fact that

$$\tilde{H}^n(f^{-1}(y); G) = \varinjlim \{ \tilde{H}^n(V'; G); y \in \text{int } V' \}$$

(see [15, p. 316]). Therefore  $\alpha/V' = 0$  for some closed neighborhood  $V$  of  $y$  and we can choose  $\beta_V = 0$ .

Property 2 follows from the naturality of the Mayer–Vietoris sequence (see [11, p. 246]):

$$\begin{array}{ccccccc}
 \bar{H}^{n-1}(V \cap W) & \xrightarrow{h} & \bar{H}^n(V \cup W) & \longrightarrow & \bar{H}^n(V) \oplus \bar{H}^n(W) & \xrightarrow{b} & \bar{H}^n(V \cap W) \\
 \downarrow g & & \downarrow & & \downarrow d & & \downarrow a \\
 \bar{H}^{n-1}(V' \cap W') & \xrightarrow{e} & \bar{H}^n(V' \cup W') & \xrightarrow{c} & \bar{H}^n(V') \oplus \bar{H}^n(W') & \longrightarrow & \bar{H}^n(V' \cap W')
 \end{array}$$

Since  $a$  is a monomorphism we have  $b(\beta_V, \beta_W) = 0$  which implies the existence of  $\omega \in \bar{H}^n(V \cup W)$  with  $\omega/V = \beta_V$ ,  $\omega/W = \beta_W$ . Then  $c(\alpha/V' \cup W' - f^*(\omega)) = 0$  because  $d$  is a monomorphism. So  $\alpha/V' \cup W' - f^*(\omega) = e(\eta)$  for some  $\eta \in \bar{H}^{n-1}(V' \cap W')$  and  $\eta = f^*(\mu)$  because  $g$  is an isomorphism. Then put  $\beta_{V \cup W} = \omega + h(\mu)$ .

Property 3 follows from the fact that  $\bar{H}^n(\bigcup_{s \in S} V_s; G) = \prod_{s \in S} \bar{H}^n(V_s; G)$  and Property 4 is a consequence of the fact that we can put  $\beta_W = \beta_V/W$  for  $W \subset V$ .  $\square$

As an immediate consequence of Theorem 1 we have

**Corollary 1.** *Let  $f: X \rightarrow Y$  be a closed surjective map between paracompact Hausdorff spaces. Assume that there is  $n \geq 0$  such that  $\check{H}^k(f^{-1}(y); G) = 0$  for all  $y \in Y$  and for  $k < n$ . If the inclusion induced homomorphism*

$$\check{H}^n(X; G) \rightarrow \check{H}^n(f^{-1}(y); G)$$

*is trivial for all  $y \in Y$ , then*

$$\bar{H}^n(f): \bar{H}^n(Y; G) \rightarrow \bar{H}^n(X; G)$$

*is an isomorphism.*

**Corollary 2.** *Let  $R$  be a PID (principle ideal domain) and let  $f: X \rightarrow Y$  be a surjective map between compact metrizable spaces such that for all  $y \in Y$ ,  $\check{H}^k(f^{-1}(y); R)$  is trivial for  $k < n$  ( $n \geq 0$ ) and is torsion free for  $k = n$ . Then  $\bar{H}^n(M(f), X; R)$  and the cokernel of  $\bar{H}^n(f): \bar{H}^n(Y; R) \rightarrow \bar{H}^n(X; R)$  are torsion-free. If  $R = \mathbb{Z}$  and  $\bar{H}^n(f^{-1}(y); \mathbb{Z})$  is free Abelian for all  $y \in Y$ , then  $\bar{H}^{n+1}(M(f), X; \mathbb{Z})$  and the cokernel of  $\bar{H}^n(f)$  are free Abelian.*

**Proof.** Since  $\prod_{y \in Y} \check{H}^n(f^{-1}(y); R)$  is torsion free, the cokernel of  $\check{H}^n(f)$  is torsion-free. If  $R = \mathbb{Z}$  and  $\bar{H}^n(f^{-1}(y); \mathbb{Z})$  are free,  $\prod_{y \in Y} \check{H}^n(f^{-1}(y); \mathbb{Z})$  can be embedded as a subgroup of  $\prod_{s \in S} \mathbb{Z}_s$ , where each  $\mathbb{Z}_s$  is a copy of  $\mathbb{Z}$ . Therefore,  $\text{im}(\gamma)$  can be embedded in  $\prod_{s \in S} \mathbb{Z}_s$  and since  $\bar{H}^n(X)$  is countable,  $\text{im}(\gamma)$  is countable, too. By

Theorem 19.2 in [6] each countable subgroup of  $\prod_{s \in S} \mathbb{Z}_s$  is free Abelian and consequently the cokernel of  $\bar{H}^n(f)$  is free Abelian.

To prove the remaining parts of Corollary 2 consider the double mapping cylinder DM of  $f$ , that is  $DM = X \times [-1, 1] \cup_{\alpha} Y \times \{-1, 1\}$ , where  $\alpha: X \times \{-1, 1\} \rightarrow Y \times \{-1, 1\}$  is defined by  $\alpha(x, t) = (f(x), t)$ . The natural projection from DM onto  $Y$  is denoted by  $p$ ,  $i: Y \rightarrow DM$  is defined by  $i(y) = (y, 1)$ . Then  $p \cdot i = \text{id}_Y$  and  $p^{-1}(y)$  is the suspension of  $f^{-1}(y)$  for each  $y \in Y$ . By what we have already proved the cokernel  $G$  of  $\bar{H}^{n+1}(p)$  is torsionfree (free Abelian in the other case). Since  $p \cdot i = \text{id}_Y$ ,  $\bar{H}^{n+1}(DM) = G \oplus \bar{H}^{n+1}(Y)$  and from the exact sequence

$$0 \rightarrow \bar{H}^{n+1}(DM, Y) \rightarrow \bar{H}^{n+1}(DM) \rightarrow \bar{H}^{n+1}(Y)$$

(here  $Y$  is identified with  $Y \times \{1\}$  via  $i$ ) we infer that  $\bar{H}^{n+1}(DM, Y)$  is a subgroup of  $G$ . Thus  $\bar{H}^{n+1}(DM, Y)$  is torsionfree (free Abelian).

Since  $DM/Y$  is homeomorphic to  $M(f)/X$ , where  $M(f)$  is the mapping cylinder of  $f$ ,  $\bar{H}^{n+1}(M(f), X)$  is isomorphic to  $\bar{H}^{n+1}(DM, Y)$  (see [11, p. 17] or [15, Lemma 11]). Thus  $\bar{H}^{n+1}(M(f), X)$  is torsionfree (free Abelian).  $\square$

## 2. Dual results

**Proof of the Dual Vietoris–Begle theorem.** Using the short exact sequence (see [11, p. 109])

$$0 \rightarrow \text{Ext}(\bar{H}^k(B, A), \mathbb{Z}) \rightarrow \bar{H}_{k-1}(B, A) \rightarrow \text{Hom}(\bar{H}^{k-1}(B, A), \mathbb{Z}) \rightarrow 0$$

we get that  $\bar{H}^k(f^{-1}(y); \mathbb{Z})$  is trivial for  $k < n$  and free Abelian for  $k = n$  (see [8, p. 107]). By Corollary 2,  $\bar{H}^k(M(f), X)$  is trivial for  $k \leq n$  and free Abelian for  $k = n + 1$ . Using the above exact sequence we have that  $\bar{H}_k(M(f), X) = 0$  for  $k \leq n$  which concludes the proof.  $\square$

Our next goal is to shed some light on the kernel of  $\bar{H}_n(f): \bar{H}_n(X; \mathbb{Z}) \rightarrow \bar{H}_n(Y; \mathbb{Z})$ .

**Theorem 2.** *Let  $f: X \rightarrow Y$  be a surjective map between compact metrizable spaces. Assume that there is  $n \geq 0$  such that  $\bar{H}_k(f^{-1}(y); \mathbb{Z}) = 0$  for all  $y \in Y$  and for  $k < n$ . If  $Y$  is the union of closed subsets  $B_1, \dots, B_m$ , then the kernel of*

$$\bar{H}_n(f): \bar{H}_n(X; \mathbb{Z}) \rightarrow \bar{H}_n(Y; \mathbb{Z})$$

*is contained in the subgroup of  $\bar{H}_n(X; \mathbb{Z})$  generated by the images of  $\bar{H}_n(f^{-1}(B_i); \mathbb{Z}) \rightarrow \bar{H}_n(X; \mathbb{Z})$  for  $i \leq m$ .*

**Proof.** We are going to prove Theorem 2 by induction on  $m$ . For  $m = 1$  it is obvious. Suppose Theorem 2 is true for  $m < l$  and consider the case  $m = l$ . Let  $A_m = f^{-1}(B_m)$ ,  $B = \bigcup_{i=1}^{m-1} B_i$ ,  $A = f^{-1}(B)$ . Let  $Z$  be the mapping cylinder of  $f, p: Z \rightarrow Y$  the projection

and  $C = p^{-1}(B)$ ,  $C_m = p^{-1}(B_m)$ . Notice that the kernel of  $\bar{H}_n(f)$  is the image of  $\partial: \bar{H}_{n+1}(Z, X) \rightarrow \bar{H}_n(X)$ . Consider Mayer-Vietoris sequence (see [11, p. 275])

$$\bar{H}_{n+1}(C_m, A_m) \oplus \bar{H}_{n+1}(C, A) \rightarrow \bar{H}_{n+1}(Z, X) \rightarrow \bar{H}_n(C_m \cap C, A_m \cap A) = 0.$$

Then for  $\alpha \in \bar{H}_{n+1}(Z, X)$  there exist  $\beta \in \bar{H}_{n+1}(C_m, A_m)$ ,  $\gamma \in \bar{H}_{n+1}(C, A)$  with  $\alpha = \beta + \gamma$ . Take  $\alpha' = \partial\alpha \in \bar{H}_n(X)$ ,  $\beta' = \partial\beta \in \bar{H}_n(A_m)$ ,  $\gamma' = \partial\gamma \in \bar{H}_n(A)$ . Since  $f_*(\alpha') = 0$  we have  $f_*(\beta' + \gamma') = 0$ . Therefore,  $\beta' + \gamma' = 0$  in  $\bar{H}_n(Z)$  and another Mayer-Vietoris sequence

$$\bar{H}_n(C \cap C_m) \rightarrow \bar{H}_n(C) \oplus H_n(C_m) \rightarrow \bar{H}_n(Z)$$

gives us the existence of  $\eta \in \bar{H}_n(C \cap C_m)$  such that  $\eta = \beta'$  in  $\bar{H}_n(C_m)$  and  $\eta = -\gamma'$  in  $\bar{H}_n(C)$ . By the Dual to Vietoris–Begle Theorem we may assume that  $\eta \in \bar{H}_n(A \cap A_m)$ . Then  $\eta + \gamma' = 0$  in  $H_n(C)$  and by the inductive assumption  $\eta + \gamma'$  belongs to the subgroup of  $\bar{H}_n(A)$  generated by the images of

$$\bar{H}_n(f^{-1}(B_k)) \rightarrow \bar{H}_n(A)$$

for  $k \leq m - 1$ .

Now  $\alpha' = \beta' + \gamma' = (\beta' - \eta) + (\eta + \gamma')$  belongs to the subgroup of  $\bar{H}_n(X)$  generated by the images of

$$\bar{H}_n(f^{-1}(B_k)) \rightarrow \bar{H}_n(X)$$

for  $k \leq m$  because  $\beta' - \eta \in \bar{H}_n(f^{-1}(B_m))$ .  $\square$

**Corollary 3.** *Let  $f: X \rightarrow Y$  be a surjective map between compact metrizable spaces. Assume that there is  $n \geq 0$  such that  $\check{H}_k(f^{-1}(y); \mathbb{Z}) = 0$  for all  $y \in Y$  and  $k < n$ . If each  $y \in Y$  has a closed neighborhood  $V$  such that the inclusion induced homomorphism*

$$\bar{H}_n(f^{-1}(V); \mathbb{Z}) \rightarrow \bar{H}_n(X; \mathbb{Z})$$

*is trivial then  $\bar{H}_n(f): \bar{H}_n(X; \mathbb{Z}) \rightarrow \bar{H}_n(Y; \mathbb{Z})$  is an isomorphism.*

**Remark.** The reader should compare Theorem 2 and Corollary 3 with results of Soloway (see [9, 14]) for  $uv^{n-1}$  maps and singular homology.

To derive further corollaries we need some preliminary results.

Let  $X$  be a compact metrizable space. If  $U$  is a finite covering of  $X$  and  $\pi$  is a partition of unity subordinated to  $U$  then we have a map  $\pi: X \rightarrow N(U)$ , where  $N(U)$  is the nerve of  $U$ . This gives rise to a homomorphism  $\phi_U: \bar{H}_k(X; \mathbb{Z}) \rightarrow \bar{H}_k(N(U); \mathbb{Z})$  and by passing to the inverse limit we have a homomorphism

$$\phi_k: \bar{H}_k(X; \mathbb{Z}) \rightarrow \text{inv lim } \bar{H}_k(N(U); \mathbb{Z}),$$

where the range is simply the  $k$ th Čech homology group  $\check{H}_k(X; \mathbb{Z})$  of  $X$  with integer coefficients.

Obviously  $\phi_k$  is a natural transformation of functors  $\bar{H}_k$  and  $\check{H}_k$ .

**Lemma 1.**  $\phi_k$  is always an epimorphism. It is an isomorphism if and only if  $\text{pro-}H_{k+1}(X; \mathbb{Z})$  satisfies the Mifflag-Leffler condition. If  $\bar{H}_k(X; \mathbb{Z})$  is countable then  $\phi_k$  is an isomorphism.

**Proof.** Let  $X = \text{inv lim } X_n$ , where each  $X_n$  is a compact polyhedron. Then we have the following exact sequence (see [5, p. 211]).

$$0 \rightarrow \lim^1 \bar{H}_{k+1}(X_n) \rightarrow \bar{H}_k(X) \rightarrow \text{inv lim } \bar{H}_k(X_n) \rightarrow 0$$

Since  $\text{inv lim } \bar{H}_k(X_n) = \check{H}_k(X)$ ,  $\phi_k$  is always an epimorphism. It is an isomorphism if and only if  $\lim^1 \bar{H}_{k+1}(X_n) = 0$  which is equivalent (see [7]) to the fact that  $\text{pro-}H_{k+1}(X) = \{\bar{H}_{k+1}(X_n)\}$  satisfies the Mifflag-Leffler condition. If  $\bar{H}_k(X)$  is countable, then  $\lim^1 \bar{H}_{k+1}(X_n)$  is countable and [7] implies it has to be trivial.  $\square$

**Lemma 2.** If  $\phi_k: \bar{H}_k(X; \mathbb{Z}) \rightarrow \check{H}_k(X; \mathbb{Z})$  is an isomorphism and  $X = \text{inv lim } X_n$ , where  $X_n$  are compact and metrizable, then the homomorphism

$$\bar{H}_k(X; \mathbb{Z}) \rightarrow \text{inv lim } \bar{H}_k(X_n; \mathbb{Z})$$

is an isomorphism.

**Proof.** Since the composition of  $\bar{H}_k(X) \rightarrow \text{inv lim } \bar{H}_k(X_n) \rightarrow \text{inv lim } \check{H}_k(X_n) = \check{H}_k(X)$  is an isomorphism, we infer that  $\bar{H}_k(X) \rightarrow \text{inv lim } \bar{H}_k(X_n)$  is a monomorphism. On the other hand the exact sequence  $0 \rightarrow \lim^1 \bar{H}_{k+1}(X_n) \rightarrow \bar{H}_k(X) \rightarrow \text{inv lim } \bar{H}_k(X_n) \rightarrow 0$  (see [5, p. 211]) implies it is an epimorphism.  $\square$

**Lemma 3.** Suppose  $A$  is a closed subset of a compact metrizable space  $X$  such that  $\bar{H}_k(X, A; \mathbb{Z})$  is countable for some  $k \geq 0$ . Then there is a closed neighborhood  $V$  of  $A$  in  $X$  such that  $\check{H}_k(V; \mathbb{Z}) \rightarrow \check{H}_k(X; \mathbb{Z})$  has the same image as  $\check{H}_k(A; \mathbb{Z}) \rightarrow \check{H}_k(X; \mathbb{Z})$ .

**Proof.** First consider the case where  $A = \{x\}$  is a one-point space. Take a decreasing sequence  $V_1 \supset V_2 \supset \dots$  of closed neighborhoods of  $x$  in  $X$  such that  $\bigcap_{m=1}^{\infty} V_m = \{x\}$ . From the exact sequence (see [5, p. 211])  $0 \rightarrow \lim^1 \check{H}_{q+1}(V_m) \rightarrow \check{H}_q(x) \rightarrow \text{inv lim } \check{H}_q(V_m) \rightarrow 0$  we infer that

$$\lim^1 \check{H}_q(V_m) = \text{inv lim } \check{H}_q(V_m) = 0$$

for all  $q$ . Let  $G_m = \text{im}(\check{H}_k(V_m) \rightarrow \check{H}_k(X))$  and  $F_m = \ker(\check{H}_k(V_m) \rightarrow \check{H}_k(X))$  for each  $m$ . Then the inverse sequence of short exact sequences

$$0 \rightarrow F_m \rightarrow \check{H}_k(V_m) \rightarrow G_m \rightarrow 0$$

gives rise to the following exact sequence (see [11, p. 401])  $0 \rightarrow \text{inv lim } F_m \rightarrow \text{inv lim } \check{H}_k(V_m) \rightarrow \text{inv lim } G_m \rightarrow \lim^1 F_m \rightarrow \lim^1 \check{H}_k(V_m) \rightarrow \lim^1 G_m \rightarrow 0$ .

Hence  $\lim^1 G_m = 0$  and since each  $G_m$  is countable, we conclude from [7] that  $\{G_m\}$  satisfies the Mifflag-Leffler condition. In our case ( $G_m$  is a decreasing sequence of groups) it means that there is  $N \geq 1$  such that  $G_m = G_{m+1}$  for  $m \geq N$ .

From the exact sequence

$$\tilde{H}_k(V_m) \rightarrow \tilde{H}_k(X) \rightarrow \tilde{H}_k(X, V_m) = \tilde{H}_k(X/V_m)$$

we get that  $G_N$  is in the kernel of

$$\tilde{H}_k(X) \rightarrow \tilde{H}_k(X/V_m)$$

for all  $m \geq N$ . Therefore  $G_N$  is in the kernel of  $\tilde{H}_k(X) \rightarrow \text{inv lim } \tilde{H}_k(X/V_m)$  and since  $X = \text{inv lim}(X/V_m)$ , Lemma 2 implies  $G_N = 0$ . Thus  $V = V_N$  satisfies the needed condition.

Now consider the general case. Then  $\tilde{H}_k(X/A) = \tilde{H}_k(X, A)$  is countable and there exists a closed neighborhood  $V$  of  $A$  such that

$$\tilde{H}_k(V/A) \rightarrow \tilde{H}_k(X/A)$$

is trivial. Each row in the diagram

$$\begin{array}{ccccc} \tilde{H}_k(A) & \longrightarrow & \tilde{H}_k(V) & \longrightarrow & \tilde{H}_k(V/A) \\ \downarrow & & \downarrow & & \downarrow \\ \tilde{H}_k(A) & \longrightarrow & \tilde{H}_k(X) & \longrightarrow & \tilde{H}_k(X/A) \end{array}$$

is exact and therefore  $\text{im}(\tilde{H}_k(V) \rightarrow \tilde{H}_k(X)) \subset \text{im}(\tilde{H}_k(A) \rightarrow \tilde{H}_k(X))$  which concludes the proof of Lemma 3.  $\square$

**Corollary 4.** *Let  $f: X \rightarrow Y$  be a surjective map between compact metric spaces. Assume that there is  $n \geq 0$  such that  $\tilde{H}_k(f^{-1}(y); \mathbb{Z}) = 0$  for all  $y \in Y$  and for  $k < n$ . If  $\tilde{H}_n(Y; \mathbb{Z})$  is countable, then there exists  $\varepsilon > 0$  such that for each finite closed covering  $\{B_i\}_{i=1}^m$  of  $Y$  with sets of diameter less than  $\varepsilon$ , the kernel of*

$$\tilde{H}_n(f): \tilde{H}_n(X; \mathbb{Z}) \rightarrow \tilde{H}_n(Y; \mathbb{Z})$$

*is the subgroup of  $\tilde{H}_n(X; \mathbb{Z})$  generated by the images of  $\tilde{H}_n(f^{-1}(B_i); \mathbb{Z}) \rightarrow \tilde{H}_n(X; \mathbb{Z})$  for  $i \leq m$ .*

**Proof.** By Lemma 3 for each  $y \in Y$  there exists a closed neighborhood  $V_y$  of  $y$  such that  $\tilde{H}_k(V_y) \rightarrow \tilde{H}_k(Y)$  is trivial. Let  $\varepsilon$  be the Lebesgue number of the open covering  $\{\text{Int } V_y\}_{y \in Y}$ . If  $B$  is a closed subset of  $Y$  with  $\text{diam } B < \varepsilon$ , then  $B \subset V_y$  for some  $y \in Y$  and the homomorphism  $\tilde{H}_k(f^{-1}(B)) \rightarrow \tilde{H}_k(Y)$  is trivial. Therefore Theorem 2 implies Corollary 4.  $\square$

**Corollary 5.** *Let  $f: X \rightarrow Y$  be a surjective map between compact metrizable spaces. Assume that there is  $n > 0$  such that  $\tilde{H}_k(f^{-1}(y); \mathbb{Z}) = 0$  for all  $y \in Y$  and  $k < n$ . If  $\tilde{H}_n(X; \mathbb{Z})$  is countable, then there exists a finite subset  $S$  of  $Y$  such that the kernel of*

$$\tilde{H}_n(f): \tilde{H}_n(X; \mathbb{Z}) \rightarrow \tilde{H}_n(Y; \mathbb{Z})$$

*is the subgroup of  $\tilde{H}_n(X; \mathbb{Z})$  generated by the images of  $\tilde{H}_n(f^{-1}(y); \mathbb{Z}) \rightarrow \tilde{H}_n(X; \mathbb{Z})$  for  $y \in S$ .*

**Proof.** From the exact sequence  $\check{H}_n(X) \rightarrow \check{H}_n(X, f^{-1}(y)) \rightarrow \check{H}_{n-1}(f^{-1}(y)) = 0$  we get that  $\check{H}_n(X, f^{-1}(y))$  is countable for each  $y \in Y$ .

By Lemma 3 for each  $y \in Y$  there exists a closed neighborhood  $V_y$  of  $y$  in  $Y$  such that

$$\text{im}(\check{H}_n(f^{-1}(V_y) \rightarrow \check{H}_n(X)) = \text{im}(\check{H}_n(f^{-1}(y)) \rightarrow \check{H}_n(X)).$$

Choose a finite set  $S = \{y_1, \dots, y_p\}$  such that  $B_i = V_{y_i}$  is a covering of  $Y$ . Now Corollary 5 follows from Theorem 2.  $\square$

**Example.** Let  $X$  be the union of  $[0, 1] \times \{0\} \times \{0\}$  and the 2-spheres  $S_n$  of the radius  $2^{-n-2}$  and the center  $(2^{-n}, 2^{-n-2}, 0)$ ,  $n = 0, 1, \dots$ . The map  $f: X \rightarrow Y = [0, 1] \times \{0\} \times \{0\}$  is the retraction such that  $f(S_n) = \{(2^{-n}, 0, 0)\}$  for  $n \geq 0$ . Then  $\check{H}_1(f^{-1}(y), \mathbb{Z}) = 0$  for  $y \in Y$  and the kernel of  $\check{H}_2(f)$  equals  $\check{H}_2(X) = \check{H}_2(X)$  which is uncountable. Therefore, the kernel of  $\check{H}_2(f)$  cannot be generated by the images of

$$\check{H}_2(f^{-1}(y); \mathbb{Z}) \rightarrow \check{H}_2(X; \mathbb{Z}).$$

### 3. Vietoris-Begle theorem for pro-homology

**Theorem 3.** Let  $R$  be a PID. Suppose  $f: X \rightarrow Y$  is a surjective map of compact metrizable spaces such that  $\text{pro-}\check{H}_k(f^{-1}(y); R)$  is trivial for all  $y \in Y$  and for  $k < n$ . Then

(a)  $\text{pro-}H_k(f): \text{pro-}H_k(X; R) \rightarrow \text{pro-}H_k(Y; R)$  is an isomorphism for  $k < n$  and an epimorphism for  $k = n$ .

(b) If  $\text{pro-}\check{H}_n(f^{-1}(y); R) \rightarrow \text{pro-}\check{H}_n(X; R)$  is trivial for all  $y \in Y$ , then  $\text{pro-}H_n(f)$  is an isomorphism.

(c) If  $\text{pro-}\check{H}_n(f^{-1}(y); R)$  is semistable for each  $y \in Y$ , then  $\check{H}_n(f): \check{H}_n(X; R) \rightarrow \check{H}_n(Y; R)$  is an epimorphism. If the kernel of  $\check{H}_n(f)$  is countable, then it is generated by the images of  $\check{H}_n(f^{-1}(y); R) \rightarrow \check{H}_n(X; R)$ . If  $\text{pro-}H_n(X; R)$  is stable, then  $\text{pro-}H_n(Y; R)$  is stable and the kernel of  $\check{H}_n(f)$  is generated by the images of  $\check{H}_n(f^{-1}(y); R) \rightarrow \check{H}_n(X; R)$  for  $y \in Y$ .

Before proceeding with a proof of Theorem 3 we need the following:

**Lemma 4.** Let  $R$  be a PID. If  $(B, A)$  is a pair of compact metrizable spaces, then the following conditions are equivalent ( $n \geq 0$ ):

(a)  $\text{pro-}\check{H}_k(B, A; R)$  is trivial for  $k \leq n$ ,

(b)  $\check{H}^k(B, A; R)$  is trivial for  $k \leq n$  and torsionfree for  $k = n + 1$ .

**Proof.** Because  $H_k(P, Q) = \check{H}_k(P/Q)$  and  $H^k(P, Q) = \check{H}^k(P/Q)$  for all polyhedral pairs  $(P, Q)$  it suffices to prove Lemma 4 for the case when  $A = \text{point}$ .

Let  $(B, A) = \text{inv lim } P_i$ , where  $P_i$  are pointed polyhedra. If (a) is satisfied, then the direct sequence

$$0 \rightarrow \text{Ext}(H_{k-1}(P_i; R), R) \rightarrow H^k(P_i; R) \rightarrow \text{Hom}(H_k(P_i; R), R) \rightarrow 0$$



of short exact sequences (see [15, p. 243]) gives  $H^k(B, A; R) = \text{dir lim Hom}(H_k(P_i; R), R)$  for  $k \leq n$ . Thus (b) follows.

If (b) is satisfied, then the inverse sequence

$$0 \rightarrow \text{Ext}(H^{k+1}(P_i; R), R) \rightarrow H_k(P_i; R) \rightarrow \text{Hom}(H^k(P_i; R), R) \rightarrow 0$$

of short exact sequences (see [15, p. 248]) implies that  $\text{pro-}H_k(B, A; R)$  is trivial for  $k \leq n$ .

Indeed, for each  $i$  there exists  $j > i$  such that the homomorphism  $H^k(P_i, R) \rightarrow H^k(P_j; R)$  annihilates the torsion of  $H^k(P_i; R)$  (is trivial) for  $k \leq n + 1$  (for  $k \leq n$ ). Therefore,  $H^k(P_i; R) \rightarrow H^k(P_j; R)$  factors through a free  $R$ -module and

$$\text{Ext}(H^k(P_j; R), R) \rightarrow \text{Ext}(H^k(P_i; R), R)$$

is trivial for  $k \leq n + 1$ . Similarly

$$\text{Hom}(H^k(P_j; R), R) \rightarrow \text{Hom}(H^k(P_i; R), R)$$

is trivial for  $k \leq n$ . This concludes the proof of Lemma 4.  $\square$

**Proof of Theorem 3.** By Lemma 4,  $\tilde{H}^k(f^{-1}(y); R)$  is trivial for  $k < n$  and is torsion free for  $k = n$ . By Theorem 1 and Corollary 2,  $\tilde{H}^k(M(f), X; R)$  is trivial for  $k \leq n$  and torsion free for  $k = n + 1$ . By Lemma 4,  $\text{pro-}H_k(M(f), X; R)$  is trivial for  $k \leq n$  and (a) follows.

Embed  $X$  in the Hilbert cube  $Q$  and let  $F: Q \rightarrow Z$  be a map such that  $Y$  is a closed subset of  $Z = F(Q)$ ,  $F/X = f$ ,  $X = F^{-1}(Y)$  and  $F/Q - X$  is one-to-one. Choose a decreasing sequence  $X_1 \supset X_2 \cdots$  of ANR's in  $Q$  with  $X = \bigcap_{p=1}^\infty X_p$ . Let  $Y_p = f(X_p)$  and  $f_p = F/X_p: X_p \rightarrow Y_p$  for  $p \geq 1$ .

Suppose  $\text{pro-}\tilde{H}_n(f^{-1}(y); R) \rightarrow \text{pro-}\tilde{H}_n(X; R)$  is trivial for all  $y \in Y$  and fix  $p \geq 1$ . Then, for each  $y \in Y_p$  there exists a compact neighborhood  $V_y$  of  $y$  in  $Y_p$  such that  $\tilde{H}_k(f^{-1}(V_y); R) \rightarrow \tilde{H}_k(X_p; R)$  is trivial. Choose a finite subset  $\{y_1, \dots, y_m\}$  of  $Y_p$  such that  $Y_p = \bigcup_{i=1}^m V_i$ , where  $V_i = V_{y_i}$ ,  $i \leq m$ .

Our goal is to show that  $\text{pro-}H_n(f_p): \text{pro-}H_n(X_p; R) \rightarrow \text{pro-}H_n(Y_p; R)$  is an isomorphism. This follows from the following:

*Special case of (b).* Suppose that for a compact subset  $B$  and  $Y$  and  $A = f^{-1}(B)$ ,  $f/X - A$  is one-to-one and  $\text{pro-}\tilde{H}_n(A; R) \rightarrow \text{pro-}\tilde{H}_n(X; R)$  is trivial. Then  $\text{pro-}H_n(f)$  is an isomorphism.

*Proof of the special case.* Consider the diagram

$$\begin{array}{ccccc} \text{pro-}\tilde{H}_n(A; R) & \longrightarrow & \text{pro-}\tilde{H}_n(X; R) & \longrightarrow & \text{pro-}H_n(X, A; R) \\ \downarrow & & \downarrow & & \downarrow \\ \text{pro-}\tilde{H}_n(B; R) & \longrightarrow & \text{pro-}\tilde{H}_n(Y; R) & \longrightarrow & \text{pro-}H_n(Y, B; R). \end{array}$$

By the part (a) of Theorem 3, the first two vertical homomorphisms are epimorphisms. Hence  $\text{pro-}\tilde{H}_n(B; R) \rightarrow \text{pro-}\tilde{H}_n(Y; R)$  is trivial. Also  $X/A = Y/B$

implies that

$$\text{pro-}H_n(X, A; R) \rightarrow \text{pro-}H_n(Y, B; R)$$

is an isomorphism. Thus  $\text{pro-}H_n(f)$  is an isomorphism.

Now (b) follows by applying its special case  $m$  times (first step is to consider the decomposition of  $X_p$  whose fibers are those of  $f_p$  which are contained in  $V_1$ , and one-point sets) and then observing that  $\text{pro-}H_n(X; R)$  ( $\text{pro-}H_n(Y; R)$ ) is the inverse limit of  $\text{pro-}H_n(X_p; R)$  ( $\text{pro-}H_n(Y_p; R)$ ) in the category of  $\text{pro-}(R\text{-modules})$  (see [2, Lemma 3.4]).

So it remains to prove part (c) of Theorem 3.

*Special case of part (c).* Assume  $\text{pro-}H_n(X; R)$  is stable and  $A \subset X$  is the only non-trivial fiber of  $f$ .

*Proof of the special case.* Here  $Y = X/A$  and  $\text{pro-}\check{H}_n(Y; R)$  is isomorphic to  $\text{pro-}H_n(X, A; R)$ . Consider the exact sequence

$$\text{pro-}\check{H}_n(A; R) \rightarrow \text{pro-}\check{H}_n(X; R) \rightarrow \text{pro-}\check{H}_n(Y; R) \rightarrow 0.$$

Since  $\text{pro-}H_n(f)$  is an epimorphism,  $\text{pro-}\check{H}_n(Y; R)$  is Mittag–Leffler (semistable in today’s terminology). As in Lemma 2.2 of [3] one can show that if in the exact sequence  $G_4 \rightarrow G_3 \rightarrow G_2 \rightarrow G_1$  of pro-groups,  $G_i$  is stable for  $i = 1, 3$  and semistable for  $i = 2, 4$ , then  $G_2$  is stable.

Thus  $\text{pro-}\check{H}_n(Y; R)$  is stable and by passing to the inverse limit we still have an exact sequence

$$\check{H}_n(A; R) \rightarrow \check{H}_n(X; R) \rightarrow \check{H}_n(Y; R) \rightarrow 0$$

(see [11, p. 401]) which is exactly what we need in the special case of (c).

Fix  $p \geq 1$ . For each  $y \in Y_p$  there exists a compact neighborhood  $V_y$  of  $y$  in  $Y_p$  such that

$$\text{im}(\check{H}_n(f^{-1}(y); R) \rightarrow \check{H}_n(X_p; R)) = \text{im}(\check{H}_n(W_y; R) \rightarrow \check{H}_n(X_p; R))$$

for some  $W_y \in \text{ANR}$  containing  $f^{-1}(V_y)$  (this is because  $\text{pro-}H_n(f^{-1}(y); R)$  is semi-stable). Choose a finite subset  $\{y_1, \dots, y_m\}$  of  $Y_p$  such that  $y_i \neq y_j$  for  $i \neq j$  and  $Y_p = \bigcup_{i=1}^m V_i$ , where  $V_i = V_{y_i}$ ,  $i \leq m$ . Let  $Z_p$  be obtained from  $X_p$  by contracting each  $f^{-1}(y_i)$ ,  $i \leq m$ , to a point. By the special case of (c) applied  $n$  times,  $\text{pro-}H_n(Z_p; R)$  is stable and  $\check{H}_n(X_p; R) \rightarrow \check{H}_n(Z_p; R)$  is an epimorphism whose kernel is generated by images of  $\check{H}_n(f^{-1}(y_i); R) \rightarrow \check{H}_n(X_p; R)$ ,  $i \leq m$ . Let  $g: Z_p \rightarrow Y_p$  be the map induced by  $f_p$ . Then for any  $y \in Y_p$  there exists  $i \leq m$  such that  $f^{-1}(y) \subset f^{-1}(V_i)$  and since

$$\text{pro-}\check{H}_n(f^{-1}(V_y); R) \rightarrow \text{pro-}\check{H}_n(Z_p; R)$$

is trivial,  $\text{pro-}\check{H}_n(g^{-1}(y); R) \rightarrow \text{pro-}\check{H}_n(Z_p; R)$  is trivial. By part (b) of Theorem 3,

$$\text{pro-}H_n(Z_p; R) \rightarrow \text{pro-}H_n(Y_p; R)$$

is an isomorphism and therefore

$$\check{H}_n(Z_p; R) \rightarrow \check{H}_n(Y_p; R)$$

is an isomorphism. Thus, for each  $p$ ,

$$\check{H}_n(X_p; R) \rightarrow \check{H}_n(Y_p; R)$$

is an epimorphism whose kernel  $F_p$  is generated by the images  $\check{H}_n(F^{-1}(y); R) \rightarrow \check{H}_n(X_p; R)$ ,  $y \in Y_p$ .

Take the inverse sequence of short exact sequences

$$\begin{array}{ccccccc} 0 & \longrightarrow & F_{p+1} & \longrightarrow & \check{H}_n(X_{p+1}; R) & \longrightarrow & \check{H}_n(Y_{p+1}; R) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & F_p & \longrightarrow & \check{H}_n(X_p; R) & \longrightarrow & \check{H}_n(Y_p; R) \longrightarrow 0. \end{array} \quad (*)$$

Observe that  $F_{p+1} \rightarrow F_p$  is an epimorphism (it follows from the description of  $F_p$ ). By passing to the inverse limit we get the following exact sequence (see [11, p. 401])

$$0 \rightarrow \text{inv lim } F_p \rightarrow \check{H}_n(X; R) \rightarrow \check{H}_n(Y; R) \rightarrow \text{lim}^1 F_p.$$

In our case  $\text{lim}^1 F_p = 0$  because  $\{F_p\}$  is Mittag-Leffler. Therefore  $\check{H}_n(f): \check{H}_n(X; R) \rightarrow \check{H}_n(Y; R)$  is epi. If  $\text{pro-}H_n(X; R)$  is stable, then as before (\*) implies that  $\{\check{H}_n(Y_p; R)\}$  is stable (see Lemma 2.2 in [3]) and Lemma 3.4 in [2] says that  $\{\check{H}_n(Y_p; R)\}$  is isomorphic to  $\text{pro-}H_n(Y; R)$  (it is because  $\text{pro-}H_n(Y_p; R)$  is stable for all  $p \geq 1$ ). Using Lemma 2.2 of [3] once again we get that  $\{F_p\}$  is stable if  $\text{pro-}H_n(X; R)$  is stable. We reach the same conclusion if the kernel of  $\check{H}_n(f)$  is countable: that kernel is isomorphic to  $\text{inv lim } F_p$  and Corollary 6.1.9. of [4, p. 81] says that  $\{F_p\}$  is stable. In our case it means that  $F_{p+1} \rightarrow F_p$  is an isomorphism for sufficiently large  $p$ . We assume it is so for all  $p$ .

Let  $F$  be the subgroup of  $\check{H}_n(X; R)$  generated by the images of  $\check{H}_n(f^{-1}(y); R) \rightarrow \check{H}_n(X; R)$  for  $y \in Y$ . The homomorphism

$$\check{H}_n(X; R) \rightarrow \check{H}_n(X_p; R)$$

sends  $F$  onto  $F_p$ . Since  $\check{H}_n(X; R) = \text{inv lim } \check{H}_n(X_p; R)$ ,  $F \rightarrow \text{inv lim } F_p$  is a monomorphism. Therefore  $F \rightarrow F_p$  is a monomorphism for each  $p$  and, consequently,  $F = \text{inv lim } F_p$ .  $\square$

**Remark.** The reader should compare Theorem 3 with results of Soloway (see [9, 14]) for  $uv^{n-1}$  maps and the singular homology.

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